# UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

ANTOINE DE SEJOURNET, ADAM HENICK, and LINDA HOLDER, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,

Case No.: 13-cv-1682-DMG (MRWx)

Plaintiffs,

VS.

GOLDMAN KURLAND AND MOHIDIN, LLP, and AHMED MOHIDIN,

Defendants.

# NOTICE OF PENDENCY AND SETTLEMENT OF CLASS ACTION

If you purchased or otherwise acquired the common stock ("Stock") of Deer Consumer Products, Inc. ("Deer" or the "Company") during the period from March 31, 2009 through August 10, 2012, inclusive (the "Class Period"), you could get a payment from a proposed class action settlement (the "Settlement").

*Under law, a federal court has authorized this notice.* 

- If approved by the Court, the proposed settlement would establish a fund in the aggregate amount of \$1,425,000 in cash, plus interest (the "Settlement Amount"), to pay the claims of investors who purchased Deer Stock during the Class Period.
- The Settlement represents an estimated average recovery of \$0.04 per share of Deer Stock for the approximately 33,592,562 shares outstanding as of August 10, 2012, the end of the Class Period. A share may have been traded more than once during the Class Period. This estimate solely reflects the average recovery per outstanding share of Deer Stock. The indicated average recovery per share will be the total average recovery for all purchasers of that share. This is not an estimate of the actual recovery per share you should expect. Your actual recovery will depend on the aggregate losses of all Settlement Class Members, the date(s) you purchased and sold Deer Stock, and the total number of claims filed.
- Attorneys for Lead Plaintiffs ("Lead Plaintiffs' Counsel") intend to ask the Court to award them fees of up to \$475,000.00, or one-third of the Settlement Amount, reimbursement of litigation expenses of no more than \$100,000.00 and an award to the Lead Plaintiffs collectively not to exceed \$30,000.00. Collectively, the attorneys' fees and expenses and award to Lead Plaintiffs are estimated to average \$0.018 per share of Deer Stock. If approved by the Court, these amounts will be paid from the Gross Settlement Fund.
- The approximate recovery, after deduction of attorneys' fees and expenses approved by the Court, is an average of \$0.022 per share of Deer Stock. This estimate is based on the assumptions set forth in the preceding paragraph. Your actual recovery, if any, will vary depending on your purchase price and sale price, and the number of claims filed.
- The Settlement resolves the lawsuit concerning claims of whether or not Defendants' audit reports misrepresented the accuracy of Deer's financial statements and misrepresented that the audits complied with U.S. auditing standards in violation of federal securities laws, as alleged in the Second Amended Complaint.
- Your legal rights will be affected whether you act or do not act. If you do not act, you may permanently forfeit your right to recover on this claim. Therefore, you should read this notice carefully.

#### YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

SUBMIT A CLAIM FORM	The only way to get a payment.
NO LATER THAN	
<b>JANUARY 18, 2016</b>	
EXCLUDE YOURSELF	Get no payment. This is the only option that allows
NO LATER THAN	you to be part of any other lawsuit against the
FEBRUARY 26, 2016	Defendants about the legal claims in this case.
OBJECT NO LATER THAN FEBRUARY 26, 2016	Write to the Court about why you do not like the settlement.
GO TO A HEARING ON	Speak in Court about the fairness of the settlement.
MARCH 11, 2016	
DO NOTHING	Get no payment. Give up rights.

#### **INQUIRIES**

Please do not contact the Court regarding this notice. All inquiries concerning this Notice, the Proof of Claim form, or any other questions by Settlement Class Members should be directed to:

or

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063 Telephone: (866) 274-4004

Fax: (610) 565-7985 info@strategicclaims.net

Laurence Rosen
The Rosen Law Firm P.A.
355 South Grand Avenue, Suite 2450
Los Angeles, CA 90071
Telephone: (212) 686-1060

Fax: (212) 202-3827 info@rosenlegal.com

### COMMON QUESTIONS AND ANSWERS CONCERNING THE SETTLEMENT

# 1. Why did I get this Notice?

You or someone in your family may have acquired Deer Stock during the Class Period.

#### 2. What is this lawsuit about?

The case is known as Antoine de Sejournet, et al. v. Goldman Kurland and Mohidin LLP, et al., Case No. CV 13-1682-DMG (MRWx) (the "Litigation"), and the Court in charge of the case is the United States District Court for the Central District of California.

The Litigation involves claims of whether Defendants violated the federal securities laws because Defendants' audit reports misrepresented the accuracy of Deer's financial statements for the years 2008, 2009, 2010, and 2011, and misrepresented that the audits complied with U.S. auditing standards, as set forth in the Second Amended Complaint. The Defendants deny they did anything wrong. The proposed Settlement resolves all of the claims in the Litigation against the Defendants.

#### 3. Why is this a class action?

In a class action, one or more persons and/or entities, called Lead Plaintiffs, sue on behalf of all persons and/or entities who have similar claims. All of these persons and/or entities are referred to collectively as a Class, and these individual persons and/or entities are known as "Class

Members." One court resolves all of the issues for all Class Members, except for those Class Members who exclude themselves from the Class.

#### 4. Why is there a Settlement?

Lead Plaintiffs and Defendants do not agree regarding the merits of Lead Plaintiffs' allegations with respect to liability or the average amount of damages per share that would be recoverable if Lead Plaintiffs were to prevail at trial on each claim. The issues on which the Lead Plaintiffs and Defendants disagree include: (1) whether Defendants made materially false and misleading statements; (2) whether Defendants made these statements with the intent to defraud the investing public; (3) whether the statements were the cause of the Class Members' alleged damages; and (4) the amount of damages, if any, suffered by the Class Members.

This matter has not gone to trial and the Court has not decided in favor of either Lead Plaintiffs or Defendants. Instead, Lead Plaintiffs and Defendants have agreed to settle the Litigation. Lead Plaintiffs and Lead Plaintiffs' Counsel believe the Settlement is best for all Class Members because of the risks associated with continued litigation and the nature of the defenses raised by Defendants. Even if Plaintiffs were to win at trial, and also withstand Defendants' inevitable challenge on appeal, Plaintiffs might not be able to collect some, or all, of the judgment.

#### 5. How do I know if I am part of the Class settlement?

To be a Settlement Class Member, you must have purchased Deer Stock during the period from March 31, 2009 through and including August 10, 2012.

### 6. Are there exceptions to being included?

Yes. Excluded from the Settlement Class are Defendants, the present and former officers and directors of Deer and any subsidiary thereof, Benjamin Wey, the New York Global Group, the present and former partners or employees of Defendants (the "Immediate Excluded Persons"), members of the Immediate Excluded Persons' immediate families and their legal representatives, heirs, successors or assigns, and any entity in which the Immediate Excluded Persons have or had a controlling interest (collectively, the "Excluded Persons").

# 7. What does the Settlement provide?

#### a. What is the Settlement Fund?

The proposed Settlement calls for Defendants to create a Gross Settlement Fund in the amount of \$1,425,000.00. The Settlement is subject to Court approval. A portion of the Gross Settlement Fund will be used to pay Lead Plaintiffs' attorneys' fees and reasonable litigation expenses and any award to Lead Plaintiffs, and is also subject to the Court's approval. A portion of the Settlement Fund also will be used to pay taxes due on interest earned by the Gross Settlement Fund, if necessary, and any notice and claims administration expenses permitted by the Court. After the foregoing deductions from the Settlement Fund have been made, the amount remaining (the "Net Settlement Fund") will be distributed to Class Members who submit valid claims.

#### b. What can you expect to receive under the proposed Settlement?

Your share of the Net Settlement Fund will or may depend on: (i) the number of claims filed; (ii) the dates you purchased and sold Deer Stock; (iii) the prices of your purchases and sales; (iv) the amount of administrative costs, including the costs of notice; and (v) the amount awarded by the Court to Lead Plaintiffs and their counsel for attorneys' fees, costs, and expenses.

The Claims Administrator will determine each Settlement Class Member's *pro rata* share of the Net Settlement Fund based upon each Settlement Class Member's valid "Recognized Claim." The Recognized Claim formula is not intended to be an estimate of the amount that a Settlement Class Member might have been able to recover after a trial; it also is not an estimate of the amount that will be paid to Settlement Class Members pursuant to the Settlement. The Recognized Claim formula is the basis upon which the Net Settlement Fund will be proportionately allocated to the Settlement Class Members with valid claims.

The Net Settlement Fund will be distributed to Settlement Class Members who submit valid, timely claim forms ("Authorized Claimants") under the below Plan of Allocation, which reflects Lead Plaintiffs' contention that because of the alleged misrepresentations and omissions made by Defendants, the price of Deer Stock was artificially inflated during the Class Period and that disclosures and materialization of the true facts caused changes in the inflated stock price.

The Recognized Claim, or compensable loss per share, of each Authorized Claimant shall be calculated according to the following formula:

#### PROPOSED PLAN OF ALLOCATION OF THE NET SETTLEMENT FUND

For purposes of determining the amount an Authorized Claimant will recover from the Settlement, Lead Counsel, with the aid of a financial consultant, has developed the Plan of Allocation. It is designed to fairly allocate the proceeds of the Net Settlement Fund to Authorized Claimants.

The Court has not made any finding that the Released Parties are liable to the Class or that the Class has suffered any compensable damages, nor has the Court made any finding that the payments allowed under this Plan of Allocation are an accurate measure of damages.

The Claims Administrator will determine each Authorized Claimant's *pro rata* share of the Net Settlement Fund based upon each Claimant's "Recognized Claim" from transactions in Deer Stock as a member of the Class. No distribution will be made on a claim where the potential distribution amount is less than ten dollars (\$10.00).

#### RECOGNIZED LOSS FORMULAS

- 1. For shares of common stock purchased between March 31, 2009 and March 20, 2011, inclusive:
- A. For shares retained at the end of trading on August 10, 2012, the Recognized Loss shall be the lesser of:
  - (1) \$5.63 per share; or
  - (2) the difference between the purchase price per share and \$.16.1

<sup>&</sup>lt;sup>1</sup> Pursuant to Section 21(D)(e)(1) of the Private Common stock Litigation Reform Act of 1995, "in any private action arising under this title in which the plaintiff seeks to establish damages by reference to the market price of a security, the award of damages to the plaintiff shall not exceed the difference between the purchase or sale price paid or received, as appropriate, by the plaintiff for the subject security and the mean trading price of that security during the 90-day period beginning on the date on which the information correcting the misstatement or omission that is the basis for the action is disseminated." Deer common stock was suspended from trading on August 13, 2012 through and including January 10, 2013. \$.16 was the mean (average) daily closing trading price of Deer common stock during the 90-day period beginning on January 11, 2013 and ending on April 10, 2013.

- B. For shares sold between March 31, 2009 and March 20, 2011, inclusive, the Recognized Loss shall be zero.
- C. For shares sold between March 21, 2011 and April 3, 2011, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$2.39 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold.
- D. For shares sold between April 4, 2011 and December 14, 2011, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$2.68 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold.
- E. For shares sold between December 15, 2011 and August 10, 2012, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$3.53 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold

# 2. For shares of common stock purchased between March 21, 2011 and April 3, 2011, inclusive:

- A. For shares retained at the end of trading on August 10, 2012, the Recognized Loss shall be the lesser of:
  - (1) \$3.24 per share; or
  - (2) the difference between the purchase price per share and \$.16.
- B. For shares sold between March 21, 2011, and April 3, 2011, inclusive, the Recognized Loss shall be zero.
- C. For shares sold between April 4, 2011 and December 14, 2011, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$.29 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold.
- D. For shares sold between December 15, 2011 and August 10, 2012, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$1.14 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold

# 3. For shares of common stock purchased between April 4, 2011 and December 14, 2011, inclusive:

- A. For shares retained at the end of trading on August 10, 2012, the Recognized Loss shall be the lesser of:
  - (1) \$2.95 per share; or
  - (2) the difference between the purchase price per share and \$.16.
- B. For shares sold between April 4, 2011, and December 14, 2011, inclusive, the Recognized Loss shall be zero.
- C. For shares sold between December 15, 2011 and August 10, 2012, inclusive, the Recognized Loss shall be the lesser of:
  - (1) \$.85 per share; or
  - (2) the difference between the purchase price per share and the sales price per share for each share sold

# 4. For shares of common stock purchased between December 15, 2011 and August 10, 2012, inclusive:

- A. For shares retained at the end of trading on August 10, 2012, the Recognized Loss shall be the lesser of:
  - (1) \$2.10 per share; or
  - (2) the difference between the purchase price per share and \$.16.
- B. For shares sold between December 15, 2011 and August 10, 2012, inclusive, the Recognized Loss shall be zero:

#### c. Are there any further limitations on the amount I may receive?

- i) To the extent there are sufficient funds in the Net Settlement Fund, an Authorized Claimant will receive an amount equal to the Authorized Claimant's Recognized Claim described above. If, however, the amount in the Net Settlement Fund is not sufficient to permit payment of the total Recognized Claim of each Authorized Claimant, then each Authorized Claimant shall be paid the percentage of the Net Settlement Fund that each Authorized Claimant's Recognized Claim bears to the total of the Recognized Claims of all Authorized Claimants.
- ii) For Class Members who conducted multiple transactions in Deer Stock during the Class Period, the earliest subsequent sale shall be matched first against those shares in the Claimant's opening position on the first day of the Class Period, and then matched chronologically thereafter against each purchase made during the Class Period.
- iii) Transactions during the Class Period resulting in a gain shall be netted against the Settlement Class Members' transactions resulting in a loss to arrive at the Recognized Claim.
- iv) Any Class Members whose collective transactions in Deer Stock during the Class Period resulted in a net gain shall not be entitled to share in the Net Settlement Fund.
- v) The purchase and sales prices exclude any brokerage commissions, transfer taxes or other fees.
- vi) The covering purchase of a short sale is not an eligible purchase. Gifts and transfers are not eligible purchases.

#### 8. How can I get a payment?

To qualify for a payment, you must send in a form entitled "Proof of Claim and Release" form. This claim form is attached to this Notice. You may also obtain a claim form on the Internet at www.strategicclaims.net. Read the instructions carefully, fill out the form, sign it in the location indicated, and mail the claim form together with all documentation requested in the form, postmarked no later than January 18, 2016, to:

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063

Tel.: 866-274-4004 Fax: 610-565-7985

The Claims Administrator will process your claim and determine whether you are an Authorized Claimant.

### 9. What am I giving up to get a payment or stay in the Settlement Class?

Unless you exclude yourself, you will remain in the Settlement Class. That means that if the Settlement is approved, you and all Settlement Class Members will release (agreeing never to sue, continue to sue, or be part of any other lawsuit) all claims against Defendants and any of its current, former, and future parents, subsidiaries, affiliates, partners, joint venturers, officers, directors, principals, shareholders, members, agents (acting in their capacity as agents), employees, attorneys, trustees, insurers (and their respective businesses, affiliates, subsidiaries, parents and affiliated corporations, divisions, predecessors, shareholders, partners, joint venturers, principals, insurers, reinsurers, successors and assigns, and their respective past, present and future employees, officers, directors, attorneys, accountants, auditors, agents and representatives), reinsurers, advisors, accountants, associates, and all other individuals and entities in which a Defendant has or had a controlling interest or which are or were related to or affiliated with any Defendant, and all of their current, former, and future legal representatives, heirs, successors-in-interest, and/or assigns of any of the Defendants (the "Released Parties").

If you sign the claim form, you are agreeing to a "Release of Claims," which will bar you from ever filing a lawsuit against the Released Parties with respect to the Settled Claims (as defined in the Stipulation) and/or to recover losses that in any way arise from, are related to, or connected to the acquisition or sale of Deer Stock during the Class Period, except to enforce the Settlement. That means you will accept a share in the Net Settlement Fund as sole compensation for any losses you have suffered in the acquisition and sale of Deer Stock during the Class Period and Deer securities from the Private Placement.

Further detail and information about what you are agreeing to and giving up is detailed in the Stipulation and Agreement of Settlement, which is available at www.strategicclaims.net.

#### 10. How do I get out of the Settlement?

If you do not want to receive a payment from this Settlement, and you want to keep any right you may have to sue or continue to sue any of the Defendants individually on your own, based on the legal claims raised in this Litigation, then you must take steps to get out of the Settlement. This is called excluding yourself from – or "opting out" of – the Settlement. To exclude yourself from the Settlement, you must mail a letter stating you want to be excluded as a Class Member from Antoine de Sejournet, et al. v. Goldman Kurland and Mohidin LLP, et al., Case No. CV 13-1682-DMG (MRWx). Be sure to include your name, address, telephone number, email contact information (if any), and your signature, along with an accurate list of all of your purchases and sales of Deer Stock during the Class Period including the date, number of shares, and price of the shares purchased or sold and supporting account documentation. You must mail your exclusion request, postmarked no later than February 26, 2016 to:

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063

Tel.: 866-274-4004 Fax: 610-565-7985 You cannot exclude yourself by telephone or by e-mail. If you ask to be excluded, you will not receive a settlement payment, and you cannot object to the Settlement. If you ask to be excluded, you will not be legally bound by anything that happens in this Litigation.

# 11. If I do not exclude myself, can I sue Defendants for the same thing later?

No. Unless you exclude yourself, you give up any right to sue Defendants or any Released Party for the claims that this Settlement resolves. If you have a pending lawsuit, speak to your lawyer in that case immediately, since you may have to exclude yourself from this Settlement Class to continue your own lawsuit.

#### 12. Do I have a lawyer in this case?

The Court has appointed The Rosen Law Firm, P.A. as class counsel to represent you and the other Class Members ("Lead Plaintiffs' Counsel"). If you want to be represented by your own lawyer, you may hire one at your own cost and expense. You do not need to hire your own separate counsel to opt out or object to the Settlement, submit a Proof of Claim, or appear at the Final Settlement Hearing.

#### 13. How will the lawyers be paid?

Lead Plaintiffs' Counsel have expended considerable time litigating this action on a contingent fee basis, and have paid for the expenses of the litigation themselves, and have not been paid attorneys' fees in advance of this Settlement. Lead Plaintiffs' Counsel has done so with the expectation that if they are successful in recovering money for the Class, they will receive attorneys' fees and be reimbursed for their litigation expenses from the Gross Settlement Fund, as is customary in this type of litigation. Lead Plaintiffs' Counsel will not receive attorneys' fees or be reimbursed for its litigation expenses except from the Gross Settlement Fund. Therefore, Lead Plaintiffs' Counsel will file a motion asking the Court at the Settlement Hearing to make an award of attorneys' fees in an amount not to exceed \$475,000.00, for reimbursement of reasonable litigation expenses not to exceed \$100,000.00 and an award to Lead Plaintiffs in an amount not to exceed \$30,000.00. The Court may award less than these amounts. Any amounts awarded by the Court will come out of the Gross Settlement Fund.

#### 14. How do I tell the Court that I do not like the Settlement?

You can tell the Court you do not agree with the Settlement, any part of the Settlement, or Lead Plaintiffs' Counsel motion for attorneys' fees, and that you think the Court should not approve the Settlement, by mailing a letter stating that you object to the Settlement in the matter of Antoine de Sejournet, et al. v. Goldman Kurland and Mohidin LLP, et al., Case No. CV 13-1682-DMG (MRWx). Be sure to include your name, address, telephone number, your signature, a list of your purchases and sales of Deer Stock in order to show your membership in the Class, and all of the reasons you object to the Settlement. Be sure to mail the objections to the two different places listed below, postmarked no later than February 26, 2016, so the Court will consider your views:

### COUNSEL FOR PLAINTIFFS AND THE CLASS:

Laurence M. Rosen
THE ROSEN LAW FIRM, P.A.
355 South Grand Avenue, Suite 2450
Los Angeles, CA 90071
Telephone: (213) 785-2610

Telephone: (213) 785-2610

Fax: (213) 226-4684

#### **COUNSEL FOR DEFENDANTS:**

John W. Sheller Renee C. Ohlendorf HINSHAW & CULBERTSON LLP 11601 Wilshire Blvd. Suite 800 Los Angeles, CA 90025

Telephone: (310) 909-8000

Fax: (310) 909-8001

# 15. What is the difference between objecting and requesting exclusion?

Objecting is simply telling the Court you do not like something about the Settlement. You can object only if you stay in the Class. Requesting exclusion is telling the Court you do not want to be part of the Class and the Settlement. If you exclude yourself, you cannot object to the Settlement because it no longer concerns you. If you stay in the Class and object, but your objection is overruled, you will not be allowed a second opportunity to exclude yourself.

#### 16. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Settlement Hearing on March 11, 2016, at 9:30 a.m., at the United States District Court, Central District of California, Courtroom: 7, 312 North Spring Street, Los Angeles, CA 90012-4701.

At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate and whether to approve the Settlement. If there are objections, the Court will consider them, and the Court will listen to people who have asked to speak at the hearing. The Court may also decide how much to pay Lead Plaintiffs' Counsel for attorneys' fees and expenses.

#### 17. Do I have to come to the hearing?

No. Lead Plaintiffs' Counsel will answer any questions the Court may have. However, you are welcome to attend at your own expense. If you send an objection, you do not have to come to Court to talk about it. As long as you mail your written objection on time, the Court will consider it.

#### 18. What happens if I do nothing at all?

If you do nothing, you will not receive a payment from the Settlement. However, unless you exclude yourself, you will not be able to start a lawsuit, continue with a lawsuit, or be part of any other lawsuit against Defendants or Released Parties about the claims made in this case ever again.

DATED: NOVEMBER 3, 2015

BY ORDER OF THE UNITED STATES

BY ORDER OF THE UNITED STATES DISTRICT COURT FOR THE CENTRAL DISTRICT OF CALIFORNIA

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063 Tel.: 866-274-4004

Fax: 610-565-7985 info@strategicclaims.net

#### PROOF OF CLAIM AND RELEASE

Deadline for Submission: January 18, 2016

IF YOU PURCHASED DEER CONSUMER PRODUCTS, INC. ("DEER") COMMON STOCK DURING THE PERIOD FROM MARCH 31, 2009 THROUGH AUGUST 10, 2012, INCLUSIVE, (THE "CLASS PERIOD"), YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTMARKED NO LATER THAN JANUARY 18, 2016 TO STRATEGIC CLAIMS SERVICES, THE CLAIMS ADMINISTRATOR, AT THE FOLLOWING ADDRESS:

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063 Tel.: 866-274-4004

Fax: 610-565-7985

YOUR FAILURE TO SUBMIT YOUR CLAIM BY JANUARY 18, 2016 MAY SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOU FROM RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

#### **CLAIMANT'S STATEMENT**

- 1. I (we) purchased Deer common stock and was (were) damaged thereby. (Do not submit this Proof of Claim if you did not purchase Deer common stock during the designated Class Period).
- 2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Class Member as defined above and in the Notice of Pendency and Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Litigation or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and

- that I (we) have not filed a request for exclusion. (If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Settlement Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
- 3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Litigation or Settlement in connection with processing of the Proof of Claim.
- 4. I (we) have set forth where requested below all relevant information with respect to each purchase of Deer common stock during the Class Period or in the Private Placement, and each sale, if any, of such common stock. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
- 5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Deer common stock and/or securities listed below in support of my (our) claim. (IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
- 6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information, including, where applicable, information concerning transactions in any derivatives securities such as options.)
- 7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) current, past and future trusts, estates, beneficiaries, heirs, joint tenants, tenants in common, executors, administrators, trustees, predecessors, successors, partners, spouses, parents, subsidiaries, affiliates, attorneys, consultants, experts, brokers, creditors, insurers, agents, representatives, and assigns, and any Person that any of them represents, of all of the Settled Claims and all claims arising out of, relating to, or in connection with the Settlement, the Litigation, and/or the resolution of the Litigation against each of the "Released Parties," as defined in the Notice and the Stipulation.
- 8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at 1-866-274-4004 or visit their website at www.strategicclaims.net to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

# **DEER (AUDITOR)**

#### I. CLAIMANT INFORMATION

I. CLAIMANT INFORMAT	HUN					
Name:						
Address:						
City			State		ZIP	
Foreign Provence			Foreign Country			
Day Phone			Evening Phone			
Email		<b>I</b>				
Social Security Number (for individuals): OR			Taxpayer Identification Number (for estates, trusts, corporations, etc.):			
<ul> <li>II. SCHEDULE OF TRANS Beginning Holdings: <ul> <li>A. State the total number of sclose of trading on Madocumented).</li> </ul> </li> <li>Purchases: <ul> <li>B. Separately list each and emarch 31, 2009 through documented):</li> </ul> </li> </ul>	shares of Deen arch 30, 200 very open ma	comme 9, long	on stock of or short	owned at the state of the state	ne  pe  mon sto	
Trade Date (List Chronologically) (Month/Day/Year)	Number of Sl Purchase			Price per Share		Total Cost (Excluding Commissions, Taxes, and Fees)

#### Sales:

C. Separately list each and every sale of Deer common stock during the period from March 31, 2009 through August 10, 2012, inclusive, and provide the following information (*must be documented*):

T 1 D 4			Amount Received
Trade Date		Duigo non	(Excluding Commissions,
(List Chronologically) (Month/Day/Year)	Number of Shares Sold	Price per Share	Taxes, and Fees)
(Month/Day/Tear)	Tumber of Shares Solu	Share	Taxes, and rees)

## **Ending Holdings:**

D.	State the total number of shares of Deer common stock owned at the	
	close of trading on August 10, 2012, long or short (must be	
	documented).	

If additional space is needed, attach separate, numbered sheets, giving all required information, substantially in the same format, and print your name and Social Security or Taxpayer Identification number at the top of each sheet.

#### III. SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number:

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social individu	Security als)	Number	(for	or	Taxpayer Identification Number (for estates, trusts, corporations, etc.)

#### IV. CERTIFICATION

I (We) certify that I am (we are) NOT subject to backup withholding under the provisions of Section 3406 (a)(1)(c) of the Internal Revenue Code because: (a) I am (We are) exempt from backup withholding, or (b) I (We) have not been notified by the I.R.S. that I am (we are) subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the I.R.S. has notified me (us) that I am (we are) no longer subject to backup withholding.

NOTE: If you have been notified by the I.R.S. that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

#### **DEER (AUDITOR)**

UNDER THE PENALTIES OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION I (WE) PROVIDED ON THIS PROOF OF CLAIM AND RELEASE FORM IS TRUE, CORRECT AND COMPLETE.

Signature of Claimant (If this claim is being made

	on behalf of Joint Claimants, then each must sign):
	(Signature)
	(Signature)
	(Capacity of person(s) signing, e.g. beneficial purchaser(s), executor, administrator, trustee, etc.)
	Check here if proof of authority to file is enclosed. (See Item 2 under Claimant's Statement)
Date:	

# THIS PROOF OF CLAIM MUST BE SUBMITTED NO LATER THAN JANUARY 18, 2016 AND MUST BE MAILED TO:

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services P.O. Box 230 600 N. Jackson St., Ste. 3 Media, PA 19063

Tel.: 866-274-4004 Fax: 610-565-7985

A Proof of Claim received by the Claims Administrator shall be deemed to have been submitted when posted, if mailed by January 18, 2016 and if a postmark is indicated on the envelope and it is mailed first class and addressed in accordance with the above instructions. In all other cases, a Proof of Claim shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to process fully all of the Proofs of Claim and to administer the Settlement. This work will be completed as promptly as time permits, given the need to investigate and tabulate each Proof of Claim. Please notify the Claims Administrator of any change of address.

#### REMINDER CHECKLIST

- Please be sure to sign this Proof of Claim on page 14. If this Proof of Claim is submitted on behalf of joint claimants, then both claimants must sign.
- o Please remember to attach supporting documents. Do NOT send any stock certificates. Keep copies of everything you submit.
- o Do NOT use highlighter on the Proof of Claim or any supporting documents.
- o If you move after submitting this Proof of Claim, please notify the Claims Administrator of the change in your address.

Deer Consumer Products, Inc. Litigation (Auditor) c/o Strategic Claims Services 600 N Jackson Street – Suite 3 Media, PA 19063

### IMPORTANT LEGAL DOCUMENT – PLEASE FORWARD