

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

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Post-Distribution Accounting Form

For guidance and instruction, please see

<https://www.cand.uscourts.gov/forms/procedural-guidance-for-class-action-settlements/>

Case Number (YY-xx-#####)	23-cv-01742
Case Name	Bergman v. Caribou Biosciences, Inc., et al.
Attorney Name	Sara Fuks
Nature of Action	Securities Class Action
Attorney Email	sfuks@rosenlegal.com
Party Represented	Lead Plaintiff Ron Bergman, named plaintiff Carl Cooper, and the Settlement Class
This is:	<input checked="" type="radio"/> A final post-distribution accounting. All settlement funds have been distributed.

1. Total settlement fund	\$3,900,000.00		
2. Number of class members	Total class size unknown; 42,287 notices sent		
3. Number of class members to whom notice was sent and not returned as undeliverable	42,061		
4. Number of claim forms submitted	19,337	N/A	<input type="checkbox"/>
5. Percentage of claim forms submitted [=Q4/Q3]	45.97%	N/A	<input type="checkbox"/>
6. Number of opt-outs	0		
7. Percentage of opt-outs [=Q6/Q3]	0.00%		
8. Number of objections	0		
9. Percentage of objections [=Q8/Q3]	0.00%		
10. Average recovery per claimant	\$1,053.97		
11. Median recovery per claimant	\$36.68		
12. Maximum recovery per claimant	\$233,504.93		

13. Minimum recovery per claimant		\$10.00						
14. Methods of notice to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.								
<input checked="" type="checkbox"/>	28.9%	Mail	<input checked="" type="checkbox"/>	71.1%	Email	<input type="checkbox"/>	0.00% Text	
<input type="checkbox"/>	0.00%	Advertisement	<input type="checkbox"/>	0.00%	Website	<input type="checkbox"/>	0.00% Other	
15. Methods of payment to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.								
<input type="checkbox"/>	0.00%	Direct Deposit	<input type="checkbox"/>	0.00%	Gift Card	<input checked="" type="checkbox"/>	26.3% Paper Check	
<input checked="" type="checkbox"/>	73.7%	Wire	<input type="checkbox"/>	0.00%	Payment App	<input type="checkbox"/>	0.00% Other	
16. Number of checks not cashed					61			
17. Total value of checks not cashed					\$2,726.00			
18. Amount of settlement funds claimed by class member					\$2,671,207.52	N/A	<input type="checkbox"/>	
19. Amount of settlement funds distributed to class members					\$2,673,933.52			
20. Amounts distributed to each cy pres recipient		Name					Amount	
		1.	Bay Area Financial Education Foundation					\$476.04
		2.						\$
		3.						\$
		4.						\$
		5.						\$
		6.						\$
		7.						\$
		8.						\$
		9.						\$
		10.						\$
21. Administrative costs					\$173,940.27			
22. Attorneys' fees					\$1,092,000.00			
23. Attorneys' costs excluding expert costs					\$47,975.35			
24. Expert costs					\$11,087.00			

25. Attorneys' fees in terms of percentage of the settlement fund	28%
26. Plaintiffs' counsel's final lodestar total	\$1,117,126.00
27. Lodestar multiplier [# x.y]	0.9775
28. Describe any potential fraud issues encountered, the likely causes, and how they were addressed	
N/A	
29. Number of class members availing themselves of nonmonetary relief	N/A
30. Aggregate value redeemed	N/A

Continued on next page.

31. Where injunctive and or other non-monetary relief has been obtained, discuss the benefit conferred on the class.

N/A

32. Other notes and issues required to be addressed by judge's standing order.

N/A

End of form.