Case 2:23-cv-03161-SDA Document 83-3 Filed 07/14/25 Page 1 of 30 PageID: 2092

# EXHIBIT 3

# UNITED STATES DISTRICT COURT DISTRICT OF NEW JERSEY

DAVID RIGO FERNANDEZ, individually and on behalf of all others similarly situated,

Plaintiff,

v.

DOUYU INTERNATIONAL HOLDINGS LIMITED, SHAOJIE CHEN, AND MINGMING SU,

Defendants.

Case No. 2:23-cv-03161-SDA Document Filed Electronically

**CLASS ACTION** 

Motion Day: August 18, 2025

Hon. Stacey D. Adams, U.S.M.J.

DECLARATION OF PHILLIP KIM ESQ. IN SUPPORT OF LEAD COUNSEL'S MOTION FOR AN AWARD OF ATTORNEYS' FEES AND REIMBURSEMENT OF LITIGATION EXPENSES FILED ON BEHALF OF THE ROSEN LAW FIRM, P.A.

### I, Phillip Kim, declare as follows:

- 1. I am a partner at The Rosen Law Firm, P.A. ("RLF"). RLF is one of the Courtappointed Lead Counsel in the above-captioned action (the "Action"). See ECF No. 24. I submit this declaration in support of Lead Counsel's application for an award of attorneys' fees in connection with services rendered in the Action, as well as for reimbursement of litigation expenses incurred in connection with the Action. I am admitted pro hac vice in this Action. I have personal knowledge of the facts set forth herein and, if called upon, could and would testify thereto.
- 2. As set forth in the Declaration of Phillip Kim and Casey E. Sadler in Support of: (I) Lead Plaintiffs' Motion for Final Approval of Class Action Settlement and Plan of Allocation; and (II) Lead Counsel's Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses, RLF was involved in all aspects of the Action and its settlement.
- 3. The schedule attached hereto as Exhibit A is a detailed summary indicating the amount of time spent by attorneys and professional support staff of my firm who, from inception of the Action through and including July 10, 2025, billed five or more hours to the Action, and the lodestar calculation for those individuals based on my firm's current billing rates. The schedule was prepared from contemporaneous daily time records regularly prepared and maintained by my firm.
- 4. I am the partner who oversaw or conducted the day-to-day activities in the Action and I reviewed these daily time records in connection with the preparation of this declaration. The purpose of this review was to confirm both the accuracy of the records as well as the necessity for, and reasonableness of, the time committed to the litigation. As a result of this review, I made

<sup>1</sup> Unless otherwise defined, all capitalized terms herein have the same meanings as set forth in the Stipulation of Settlement dated July 30, 2024. ECF No. 61.

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reductions to certain of my firm's time entries such that the time included in Exhibit A reflects that exercise of billing judgment. Based on this review and the adjustments made, I believe that the time of the RLF attorneys and staff reflected in Exhibit A was reasonable and necessary for the effective and efficient prosecution and resolution of the Action. No time expended on the application for fees and reimbursement of expenses has been included.

- 5. The hourly rates for the attorneys and professional support staff in my firm included in Exhibit A are consistent with the rates approved by courts in other securities or shareholder litigation when conducting a lodestar cross-check.
- 6. The total number of hours reflected in Exhibit A is 421.4 hours. The total lodestar reflected in Exhibit A is \$441,480.00, consisting of \$435,572.50 for attorneys' time and \$5,907.50 for professional support staff time.
- 7. My firm's lodestar figures are based upon the firm's billing rates, which rates do not include charges for expense items. Expense items are billed separately and such charges are not duplicated in my firm's billing rates.
- 8. As detailed in Exhibit B, my firm is seeking reimbursement of a total of \$28,428.98 in expenses incurred in connection with the prosecution of this Action.
- 9. The litigation expenses incurred in the Action are reflected on the books and records of my firm. These books and records are prepared from expense vouchers, check records, and other source materials and are an accurate record of the expenses incurred. The expenses reflected in Exhibit B are the expenses actually incurred by my firm.
- Attached hereto as Exhibit C is a brief biography of RLF, including the attorneys 10. who were involved in the Action.

I declare, under penalty of perjury pursuant to 28 U.S.C. § 1746, that the foregoing is true and correct. Executed on July 14, 2025, in New York, New York.

Phillip Kim

## **EXHIBIT A**

Fernandez v. DouYu Int'l Holdings Ltd., et al., Case No.: 2:23-cv-03161-SDA

The Rosen Law Firm, P.A.

## LODESTAR REPORT FROM INCEPTION THROUGH JULY 10, 2025

TIMEKEEPER	STATUS	HOURS	RATE	LODESTAR
ATTORNEYS:				
Phillip Kim	Partner	37.5	\$1,400	\$52,500.00
Jing Chen	Partner	248.9	\$1,100	\$273,790.00
Erica L. Stone	Counsel	108.7	\$975	\$105,982.50
Ian McDowell	Associate	6.0	\$550	\$3,300.00
TOTAL ATTORNEY		401.1		\$435,572.50
PROFESSIONAL				
STAFF:				
Zachary Stanco	Paralegal	13.0	\$300	\$3,900.00
Julia Shimizu	Paralegal	7.3	\$275	\$2,0078.50
TOTAL				
PROFESSIONAL				
STAFF		20.3		\$5,907.50
TOTAL LODESTAR		421.4		\$441,480.00

## **EXHIBIT B**

Fernandez v. DouYu Int'l Holdings Ltd., et al., Case No.: 2:23-cv-03161-SDA

The Rosen Law Firm, P.A.

# **EXPENSE REPORT FROM INCEPTION THROUGH JULY 10, 2025**

ITEM	AMOUNT
COURIER & SPECIAL POSTAGE	\$122.63
COURT FILING FEES	\$402.00
EXPERTS – ECONOMETRIC (MARKET	
EFFICIENCY, LOSS CAUSATION,	
DAMAGES, PLAN OF ALLOCATION)	\$12,629.25
PRIVATE INVESTIGATOR FEES	\$3,000.00
SERVICE OF PROCESS AND	
TRANSLATION FEES	\$1,557.99
PRO HAC VICE FEES	\$650.00
ONLINE RESEARCH AND DOCUMENT	
RETREIVAL FEES	\$3,313.52
NOTICE TO CLASS MEMBERS FEES	\$6,055.00
TRAVEL, TRANSPORTATION, MEALS*	\$698.59
GRAND TOTAL	\$28,428.98

<sup>\*</sup>Includes estimated expenses for traveling to and from the Settlement Hearing

# EXHIBIT C The Rosen Law Firm, P.A. FIRM RESUME

## THE ROSEN LAW FIRM P.A. BIOGRAPHY

#### I. ATTORNEYS

#### LAURENCE ROSEN – MANAGING PARTNER

Laurence Rosen is a 1988 graduate of New York University School of Law. He earned an M.B.A. in finance and accounting at the University of Chicago Graduate School of Business and a B.A. in Economics from Emory University. Mr. Rosen served as a law clerk to the Honorable Stanley S. Brotman, Senior United States District Judge for the District of New Jersey. Mr. Rosen entered private practice as an associate at the law firm of Skadden Arps Slate Meagher & Flom in New York City where he participated in a number of complex securities class action and derivative litigation matters. He later served as an associate at McCarter & English in Newark, New Jersey where he specialized in securities and business litigation.

After practicing general securities and commercial litigation in New York City with Solton Rosen & Balakhovsky LLP, Mr. Rosen founded The Rosen Law Firm to represent investors exclusively in securities class actions and derivative litigation. Mr. Rosen is admitted to practice law in New York, California, Florida, New Jersey and the District of Columbia. Mr. Rosen is also admitted to practice before numerous United States District Courts throughout the country and the United States Court of Appeals for the Second, Fourth, and Sixth Circuits.

In 2019-2024 Lawdragon named Mr. Rosen as one of the 500 Leading Plaintiff Financial Lawyers. Mr. Rosen was also named by law360 as Titan of Plaintiffs' Bar for 2020. Mr. Rosen was selected to *Super Lawyers* in 2017-2024.

#### PHILLIP KIM – PARTNER

Mr. Kim graduated from Villanova University School of Law in 2002. He received a B.A. in Economics from The Johns Hopkins University in Baltimore, Maryland in 1999. Prior to joining The Rosen Law Firm, Mr. Kim served as Assistant Corporation Counsel for the City of New York in the Special Federal Litigation Division. In that position, Mr. Kim defended a number of class action lawsuits, litigated numerous individual actions, and participated in more than seven trials. Mr. Kim focuses his practice on securities class actions and shareholder derivative litigation. Mr. Kim is admitted to the bar of the State of New York and admitted to practice in the Southern, Eastern, Northern and Western Districts of New York, the District of Colorado, the Eastern District of Wisconsin, the Eastern District of Michigan, and United States Court of Appeals for the Second, Sixth and Ninth Circuits.

In 2019-2024 Lawdragon named Mr. Kim as one of the 500 Leading Plaintiff Financial Lawyers. In 2023-2024 Mr. Kim was selected to *Super Lawyers*. Mr. Kim was recognized by Best Lawyers in The Best Lawyers of America 2024-2025.

#### JACOB A. GOLDBERG - PARTNER

Mr. Goldberg is a 1988 graduate of Columbia University. Mr. Goldberg received his J.D., cum laude, from the Temple University School of Law in 1992. For over 23 years, Mr. Goldberg has litigated complex cases at the highest levels, championing the rights of investors, employees and consumers. Mr. Goldberg has recovered over \$200 million for investors in securities class actions. In addition to serving in leadership roles in securities class actions, Mr. Goldberg has litigated many cases under state corporations laws, against faithless boards of directors both on behalf of shareholders, in the mergers and acquisitions context, and, derivatively, on behalf of corporations, to remedy harm to the corporation itself. Mr. Goldberg is admitted to practice law in the Commonwealth of Pennsylvania, New York, the United States Supreme Court, the United

States Court of Appeals for the Second, Third, Fourth and Sixth Circuits, and various United States District Courts across the country.

In 2019-2024 Lawdragon named Mr. Goldberg as one of the 500 Leading Plaintiff Financial Lawyers.

#### JONATHAN A. SAIDEL – PARTNER

Mr. Saidel has had a long and distinguished career in Pennsylvania politics, as well as in the roles of attorney, accountant and author. He served as Philadelphia city controller for four consecutive terms, each time earning reelection by a wide margin, and enacting financial reforms that have saved taxpayers upwards of \$500 million. Later, in 2010 he went on to campaign for lieutenant governor of Pennsylvania, where he was runner-up to Scott Conklin by only a few thousand votes out of almost 1 million cast. A Lifelong resident of Northeast Philadelphia, Mr. Saidel's tireless dedication to fiscal discipline reduced the city's tax burden and spurred economic development. Mr. Saidel also pushed for important business tax incentives and expanded minority and small business lending, all of which have revitalized the city, helping it prosper and come back from the brink of bankruptcy in the early 1990's to become one of the most vibrant cities on the East Coast.

Mr. Saidel's book, "Philadelphia: A New Urban Direction", is widely considered an essential guide for effective government and corporate governance and is required reading at many colleges and universities.

Mr. Saidel received his JD from the Widener University of Law and is a graduate of Temple University. He is also an adjunct lecturer at the University of Pennsylvania Fels Institute of Government, and Drexel University's MBA Program. In addition to being a Certified Public Account, Jonathan is a recipient of the National Association of Local Government Auditor's Knighton Award, the President's Council on Integrity and Efficiency Award for Excellence,

multiple special project awards from the National Association of Local Government Auditors, and the "Controller of the Year" award, a peer recognition presented by the Pennsylvania City Controllers Association.

#### SARA FUKS - PARTNER

Ms. Fuks graduated from Fordham University School of Law, *cum laude*, in February 2005, where she was a member of Fordham Law Review. She received her B.A. in Political Science, *magna cum laude*, from New York University in 2001. Ms. Fuks began her practice at Dewey Ballantine, LLP where she focused on general commercial litigation and then went on to prosecute numerous ERISA and securities class actions as an associate at Milberg LLP. Ms. Fuks is admitted to the bar of the State of New York and admitted to practice in the United States District Courts for the Southern District and Eastern District of New York, and the Eastern District of Michigan. Ms. Fuks was selected to *SuperLawyers* in 2021-2024 and *SuperLawyers* Rising Stars in 2017-2019.

#### JONATHAN HORNE – PARTNER

Mr. Horne is a 2009 graduate of New York University School of Law, where he received the Lederman/Milbank Law, Economics, and Business fellowship, and holds a B.A. in Economics & Philosophy from the University of Toronto. Mr. Horne began his practice at Kaye Scholer LLP. Mr. Horne specializes in securities litigation. He is admitted to practice in New York and the United States District Courts for the District of Colorado and the Southern and Eastern Districts of New York. Mr. Horne was named a Super Lawyer – Rising Star for the New York Metro Area every year since 2015.

#### YU SHI - PARTNER

Mr. Shi received his J.D. from Columbia Law School in 2011 and his B.A., *cum laude*, from Columbia University in 2008. In 2024, Lawdragon recognized Mr. Shi as one of the 500

Leading Plaintiffs Financial Lawyers. In 2022, Law360 named Mr. Shi as one of the nation's top securities attorneys under the age of 40. He has been selected to *Super Lawyers* each year since 2018. Mr. Shi began his career as a Special Assistant Corporation Counsel in the New York City Law Department's Economic Development Division. Mr. Shi joined The Rosen Law Firm in 2012 and focuses his practice on securities litigation. He is admitted to practice in the State of New York, the United States District Courts for the Eastern District of New York, Southern Districts of New York, and the District of Colorado, and the United States Court of Appeals for the Second Circuit.

#### JONATHAN STERN – PARTNER

Mr. Stern graduated from New York University School of Law in May of 2008, where he was a Development Editor of the Annual Survey of American Law. He received his B.A. in Philosophy with Honors from McGill University. Mr. Stern began his practice in the litigation department of Simpson Thacher & Bartlett LLP, and then went on to practice at the litigation boutique of Simon & Partners LLP, where he participated in a Federal trial. Mr. Stern is admitted to the bar of the State of New York and admitted to practice in the United States Southern and Eastern District Courts of New York and the United States Court of Appeals for the Second Circuit. for the First, Sixth, Seventh, Eighth and Ninth Circuits, and the United States Supreme Court.

#### JING CHEN – PARTNER

Ms. Chen received a Juris Doctor degree from Pace University School of Law in 2011, Juris Master degree from China University of Political Science and Law in Beijing, China and B.A. in English Literature and Linguistics from Shandong University in Jinan, China. She is admitted to practice in New York, New Jersey and China. Prior to joining The Rosen Law Firm, Ms. Chen practiced corporate law, commercial transactions and arbitration for over two years.

#### BRIAN ALEXANDER – PARTNER

Mr. Alexander graduated from Harvard Law School, *cum laude*, in 2008. He received a B.A. from Cornell University, *magna cum laude*, in 2003. Prior to joining the Rosen Law Firm, Mr. Alexander practiced complex commercial litigation at Boies Schiller Flexner LLP and other prominent law firms in New York. He also served as a law clerk to the Honorable Raymond J. Dearie of the United States District Court for the Eastern District of New York. He is admitted to practice in New York and in the United States District Courts for the Eastern and Southern Districts of New York. Mr. Alexander was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2025.

#### DANIEL TYRE-KARP – PARTNER

Prior to joining The Rosen Law Firm in May 2018, Mr. Tyre-Karp was a senior associate in the securities litigation and corporate governance group at Weil, Gotshal & Manges, where he advised corporate and individual clients on a variety of high-stakes regulatory and litigation matters in state and federal courts. Mr. Tyre-Karp's extensive experience includes working on several of the largest recent shareholder class action litigations (*In re American International Group, Inc. 2008 Securities Litigation*, Docket No. 08-CV-4772 (S.D.N.Y.) and related opt-out actions; *In re El Paso Corporation Shareholder Litigation*, Docket No. 6949 (Del. Ch.)), participating in complex business and bankruptcy litigations (*In re Lehman Brothers Holdings, Inc., et al*, Docket No. 1:08-bk-13555 (Bankr. S.D.N.Y.), and advising numerous clients facing FINRA and SEC investigations. Mr. Tyre-Karp graduated with honors from Wesleyan University in 2003 and received his J.D. from New York University School of Law in 2009, where he served as Senior Notes Editor of the Journal of Legislation and Public Policy. He is admitted to practice in New York and the United States District Courts for the Southern and Eastern Districts of New York. Mr. Tyre-Karp was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2025.

#### ROBIN BRONZAFT HOWALD - COUNSEL

Ms. Howald is a graduate of Stanford Law School where she was a member of the Stanford Law Review. Ms. Howald earned her BA from Barnard College, magna cum laude. Ms. Howald joined the firm in 2021 and focuses her practice on securities litigation. For the last 15 years, Ms. Howald has prosecuted major securities litigations. She was one of the lead attorneys in cases that achieved settlements of \$250 million for injured investors, including Schleicher v. Wendt, 618 F.3d 679 (7th Cir. 2010) (\$41.5 million), In re Mannkind Corp. Securities Litigation (C.D. California) (\$23 million); In re ECI Telecom Ltd. Securities Litigation (Eastern District of Virginia) (\$21.75 million), In re Gilat Satellite Networks, Ltd. Securities Litigation (E.D.N.Y.) (\$20 million), In re Musicmaker.com Securities Litigation, 2001 WL 34062431 (C.D. Cal. 2001) (\$13.75 million), In re Puda Coal Inc. Securities Litigation (S.D.N.Y.) (\$8.6 million following reconsideration of grant of summary judgment), Jenson v. Fiserv Trust Co., 256 F. App'x. 924 (9th Cir. 2007) (\$8.5 million recovered for victims of a Ponzi scheme). Ms. Howald is admitted to the bars of California, New York, the United States District Courts for the Eastern and Southern Districts of New York, the Central, Eastern, and Northern Districts of California, the Eastern District of Michigan, and the United States Court of Appeals.

#### GONEN HAKLAY - COUNSEL

Mr. Haklay graduated from Stanford University School of Law in 1995. He received a B.A. in Political Science from The University of Massachusetts at Amherst in 1992. After several years as an associate at a large Philadelphia law firm, Mr. Haklay joined the Philadelphia District Attorney's office. As a prosecutor, he tried over 100 criminal jury cases and handled both capital and non-capital homicide cases. After 12 years as prosecutor, Mr. Haklay joined a prominent plaintiffs' firm where he tried over ten asbestos cases, recovering millions of dollars for his clients. As a young man, Mr. Haklay served as an infantryman in the Israel Defense Forces. Mr. Haklay

is admitted to the bars of the Commonwealth of Pennsylvania, the State of New Jersey, the United States District Court for the Eastern District of Pennsylvania, and the United States Third Circuit Court of Appeals. Mr. Haklay was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2025.

#### ERICA STONE – COUNSEL

Ms. Stone graduated from the Benjamin N. Cardozo School of Law in 2013. She received her B.A. in Political Science and Communications, *cum laude*, from the University of Pennsylvania in 2009. She is admitted to practice in New York, New Jersey, and the United States District Courts for the Southern District and Eastern District of New York, the District of New Jersey, the Eastern District of Wisconsin, and the Eastern District of Michigan. In 2024, Ms. Stone was selected to *Super Lawyers*. Ms. Stone was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2025.

#### JOSHUA BAKER – COUNSEL

Mr. Baker graduated from the New York University School of Law in 2013. He received a B.A. from the University of Maryland in 2009. Prior to joining the Rosen Law Firm, Mr. Baker practiced complex commercial litigation for a New York firm. He is admitted to practice in New York, Massachusetts, and United States District Courts for the Eastern and Southern Districts of New York and the District of Massachusetts. Mr. Baker was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2025.

#### MICHAEL COHEN – COUNSEL

Mr. Cohen focuses his practice on securities and shareholder derivative litigation. Prior to joining The Rosen Law Firm in 2021, Mr. Cohen was an associate in the litigation practice of Kramer Levin Naftalis & Frankel LLP, where he advised corporate and individual clients on a wide variety of litigation and regulatory matters in federal and state courts. He has also served as

a law clerk to the Honorable Corinne Beckwith of the District of Columbia Court of Appeals. Mr. Cohen is admitted to practice in New York and the United States District Courts for the Eastern and Southern Districts of New York. Mr. Cohen was recognized by Best Lawyers as Best Lawyers: Ones to Watch 2023-2025.

#### LEAH HEIFETZ-LI – COUNSEL

Ms. Heifetz-Li is a 2009 graduate of Columbia Law School, and received a B.A. from the University of Pennsylvania. Ms. Heifetz-Li served as a Law Clerk to the Honorable Cynthia S. Kern, New York State Supreme Court, New York County. She has extensive experience in class action litigation, having previously practiced at a large class action firm representing shareholders in merger and acquisition litigation as well as shareholder derivative actions. Ms. Heifetz-Li has worked on case teams that secured significant financial recoveries for stockholders as well as corporate governance reforms in the Delaware Court of Chancery and other courts throughout the country.

#### HENRY BLOXENHEIM – ATTORNEY

Mr. Bloxenheim graduated from Columbia Law School in 2023. Mr. Bloxenheim received his B.A. in Political Science, *summa cum laude*, from Brooklyn College. Mr. Bloxenheim is admitted to practice in New York and in the United States District Courts for the Southern District and Eastern District of New York.

#### CHRISTIE BUZZETTI – ATTORNEY

Ms. Buzzetti graduated from Brooklyn Law School in 2022. She received her B.A. in Political Science from the University of California, Los Angeles in 2016. Ms. Buzzetti is admitted to practice in New York and in the United States District Courts for the Eastern and Southern District of New York.

#### YITZCHOK (IZZY) FISHBACH – ATTORNEY

Mr. Fishbach received his J.D. from Vanderbilt University Law School in 2022, where he served as the Articles Editor of the Environmental Law and Policy Annual Review. He received his B.A. in Political Science from Binghamton University in 2019. Mr. Fishbach is admitted to practice in New York, Tennessee, and the United States District Courts for the Eastern and Southern Districts of New York.

#### LUKE FOLEY – ATTORNEY

Mr. Foley received his J.D. from the William and Mary Law School in 2022. He received his B.A. in History and Citizenship & Civic Engagement from Syracuse University in 2016. Prior to joining the Rosen Law Firm in September 2023, Mr. Foley was the Law Clerk to the Hon. Barbara Buono Stanton of the New Jersey Superior Court, Passaic County. Mr. Foley is admitted to practice in Maryland.

#### RYAN HEDRICK - ATTORNEY

Mr. Hedrick received his J.D. from the University of Chicago in 2019. He received his B.A. in Linguistics and Political Science, *summa cum laude*, from The Ohio State University in 2015. Mr. Hedrick joined the Rosen Law Firm in August 2019. Mr. Hedrick is admitted to practice in New York, New Jersey, the United States District Courts for the Eastern and Southern Districts of New York, the United States District Court for the District of New Jersey, and the United States District Court for the District Court for the District of Colorado.

#### HA SUNG (SCOTT) KIM – ATTORNEY

Mr. Kim received his J.D. from the Columbia Law School in 2017. He received his B.A., *magna cum laude*, from Wheaton College in 2013. Mr. Kim joined the Rosen Law Firm in January 2020. Mr. Kim is admitted to practice in New York.

#### IAN MCDOWELL - ATTORNEY

Mr. McDowell graduated *cum laude* from the University of Richmond School of Law in 2022. He received his B.A. from James Madison University in 2016. Mr. McDowell is admitted to practice in Pennsylvania and Maryland as well as the United States District Court for the Eastern District of Pennsylvania.

### OLIVIA SIMKINS – ATTORNEY

Ms. Simkins is a 2024 graduate of Tulane University School of Law. She earned her B.A. in Linguistics and English *cum laude* from Tulane University in 2017. Ms. Simkins is admitted to practice in Pennsylvania and the United States District Court for the Eastern District of Pennsylvania.

#### ERIC JUN BI - ATTORNEY

Mr. Bi is a 2024 graduate of Cornell Law School. He earned his Bachelor of Laws from Yanching Institute of Technology in 2020. Mr. Bi is fluent in Mandarin. Mr. Bi is admitted to practice in New York and Pennsylvania.

- II. RECENT ACCOMPLISHMENTS OF THE ROSEN LAW FIRM, P.A.
  - Alibaba Group Holding Ltd., (S.D.N.Y.). Rosen Lead Counsel. \$250 million.
  - Fiat Chrysler Automobiles, (S.D.N.Y.). Rosen Co-Lead Counsel. \$110 million.
  - Infinity Q Diversified Alpha Fund, (N.Y. Supreme). Rosen Co-Lead Counsel. \$48 million.
  - Silver Wheaton Corp., (C.D. Cal.). Rosen Lead Counsel. \$41.5 million.
  - Omega Healthcare Investors, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$30.75 million.
  - Magnachip Semiconductor Corp., (N.D. Cal.). Rosen Co-Lead Counsel. \$29.7 million.
  - Och-Ziff Capital Management Group LLC, (S.D.N.Y.). Rosen Co-Lead Counsel.
     \$28.75 million.
  - Walter Investment Management, (S.D. Fla.). Rosen Co-Lead Counsel. \$24 million.
  - Galena Biopharma, Inc., (D. Or.). Rosen Co-Lead Counsel. \$20.165 million.
  - Live Nation Entertainment, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$20 million, pending Court approval.
  - El Pollo Loco Holdings, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$20 million.
  - Tibet Pharmaceuticals, Inc., (D.N.J.). Rosen Lead Counsel. \$14 million bankruptcy settlement. \$2.075 million with auditor.
  - USA Technologies, Inc., (E.D. Pa.). Rosen Lead Counsel. \$15.3 million.
  - Zillow Group, Inc. Sec. Litig., (W.D. Wash.). Rosen Lead Counsel. \$15 million.
  - Silvercorp Metals, Inc., (S.D.N.Y.). Rosen Plaintiffs' Counsel. \$14 million.
  - Sandridge Energy, Inc., (W.D. Okla.). Rosen Co-Lead Counsel. \$13.945 million.
  - Astec Industries, Inc., (E.D. Tenn.). Rosen Lead Counsel. \$13.7 million.
  - Blue Apron Holdings, Inc., (E.D.N.Y.). Rosen Co-Lead Counsel. \$13.25 million.

- Canopy Growth Corporation, (D.N.J.). Rosen Co-Lead Counsel. \$13 million.
- SeaWorld Entertainment Inc. (Shareholder Derivative) (Del. Ch.). Rosen Co-Lead Counsel. \$12.5 million.
- The RealReal, Inc., (N.D. Cal.). Rosen Lead Counsel. \$11 million.
- Full Truck Alliance Co. (E.D.N.Y.) and (NY. Sup.). Rosen Federal Lead Counsel.
   \$10.25 million.
- Quest Energy Partners LP, (W.D. Okla.). Rosen Lead Counsel. \$10.1 million all classes.
- Prosper Marketplace, Inc., (Cal. Superior). Rosen Class Counsel. \$10 million.
- PG&E Corp., (N.D. Cal.). Rosen Co-Lead Counsel. \$10 million.
- Textainer Financial Servs. Corp., (Cal. Superior). Rosen Co-Lead Counsel. \$10 million.
- comScore, Inc. (Shareholder Derivative), Rosen Co-Lead Counsel. \$10 million.
- Santander Consumer USA Holdings Inc., (N.D. Tex.). Rosen Co-Lead Counsel. \$9.5
   million.
- Uxin Limited, (E.D.N.Y.). Rosen Lead Counsel. \$9.5 million.
- Concordia International Corp., (S.D.N.Y.). Rosen Lead Counsel. \$9.25 million.
- **PPDAI Group Inc.**, (E.D.N.Y.). Rosen Lead Counsel. **\$9 million**.
- Puda Coal, (S.D.N.Y.). Rosen Co-Lead Counsel. \$8.7 million.
- RINO International Corporation, (C.D. Cal.). Rosen Lead Counsel. \$8,685,000.
- Acer Therapeutics, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$8.35 million.
- Montage Technology Group Limited, (N.D. Cal.). Rosen Lead Counsel. \$7.25 million.
- AgFeed Industries, (M.D. Tenn.). Rosen Lead Counsel. \$7 million.
- Sundial Growers, Inc., (S.D.N.Y.). Rosen Co-Lead Counsel. \$7 million.
- Akazoo S.A., (E.D.N.Y.). Rosen Co-Lead Counsel. \$6.51 million.

- Global Brokerage, Inc. f/k/a FXCM, Inc. Sec. Litig., (S.D.N.Y.). Rosen Lead Counsel. \$6.5 million.
- Aeterna Zentaris, Inc., (D. N.J.). Rosen Class Counsel. \$6.5 million.
- Bender v. Vertex Energy, Inc., (S.D. Tex.). Rosen Lead Counsel. \$6.3 million, pending Court approval.
- Sunlands Technology Group, (E.D.N.Y.). Rosen Lead Counsel. \$6.2 million.
- Covia Holdings Corp., (N.D. Ohio). Rosen Lead Counsel. \$6 million.
- FalconStor Software, Inc., (E.D.N.Y.). Rosen Lead Counsel. \$5 million.
- Jumia Technologies AG, (S.D.N.Y.). Rosen Lead Counsel. \$5 million.
- Momo, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$5 million.
- SOS Limited, (D.N.J.). Rosen Co-Lead Counsel. \$5 million.
- Missfresh Limited, (S.D.N.Y.). Rosen Co-Lead Counsel. \$4.9039 million.
- State Street, (D. Mass.). Rosen Lead Counsel. \$4.9 million.
- Dada Nexus Limited, (C.D. Cal.). Rosen Lead Counsel. \$4.8 million.
- Stronghold Digital Mining, Inc., (S.D.N.Y.) Rosen Lead Counsel. \$4.75 million and 25
   Bitcoins.
- Altice USA Inc., (E.D.N.Y.). Rosen Lead Counsel. \$4.75 million.
- KIOR, Inc., (S.D. Tex.). Rosen Co-Lead Counsel. \$4.5 million.
- Entropin, Inc., (C.D. Cal.). Rosen Lead Counsel. \$4.5 million.
- Sonus Networks, Inc., (D. Mass). Rosen Co-Lead Counsel. \$4.5 million.
- Uni-Pixel, Inc., (S.D. Tex.). Rosen Co-Lead Counsel. \$4.5 million.
- China Expert Technology, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$4.2 million.
- IDreamSky Technology Limited, (S.D.N.Y.). Rosen Co-Lead Counsel. \$4.15 million.

- Universal Travel Group, Inc., (D.N.J.). Rosen Lead Counsel. \$4.075 million.
- Allegiant Travel Co., (D. Nev.). Rosen Lead Counsel. \$4 million.
- **Zynerba Pharms., Inc.**, (E.D. Pa.). Rosen Co-Lead Counsel. **\$4 million**.
- Dapper Labs, Inc., (S.D.N.Y.). Rosen Lead Counsel, \$4 million.
- Liberty Oilfield Services, Inc., (D. Colo.). Rosen Lead Counsel. \$3.9 million.
- Caribou Biosciences, Inc., (N.D. Cal.). Rosen Co-Lead Counsel. \$3.9 million.
- China Electric Motor, Inc., (C.D. Cal.). Rosen Lead Counsel. \$3,778,333.33.
- IsoRay, Inc., (E.D. Wash.). Rosen Co-Lead Counsel. \$3,537,500.
- Deer Consumer Products, Inc., (C.D. Cal.). Rosen Lead Counsel. \$3.55 million.
- SAExploration Holdings, Inc., (S.D. Tex.). \$3.55 million.
- L&L Energy, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$3.5 million.
- Tarena International, Inc., N (E.D.N.Y.). Rosen Lead Counsel. \$3.5 million.
- Catalyst Pharmaceutical Partners, Inc., (S.D. Fla.). Rosen Lead Counsel. \$3.5 million.
- Sunlight Financial Holdings, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$3.5 million.
- Keyuan Petrochemicals, Inc. and Auditor, (S.D.N.Y.) & (D.N.J.). Rosen Lead Counsel.
   \$3.5 million.
- StockerYale, Inc., (D.N.H.). Rosen Lead Counsel. \$3.4 million.
- Industrial Enterprises of America, Inc., (S.D.N.Y.). Rosen Co-Lead Counsel. \$3.4 million.
- Ampio Pharmaceuticals, Inc., (C.D. Cal.). Rosen Lead Counsel. \$3.4 million.
- Textura Corporation, (N.D Ill.). Rosen Lead Counsel. \$3.3 million.
- Roka Bioscience, Inc., (D.N.J.). Rosen Lead Counsel. \$3.275 million.
- Intrusion, Inc., (E.D. Tex.). Rosen Lead Counsel. \$3.25 million.

- Wedbush Morgan Securities, Inc., (Cal. Superior). Co-Lead Counsel. \$3.2 million.
- New Oriental Education & Technology Group Inc., (D.N.J.). Rosen Co-Lead Counsel.
   \$3.15 million.
- TierOne Corporation, (D. Neb.). Rosen Lead Counsel. \$3.1 million.
- GDS Holdings Limited, (C.D. Cal.). Rosen Lead Counsel. \$3 million.
- Hanmi Financial Corporation, (C.D. Cal.). Rosen Lead Counsel. \$3 million.
- Cadiz, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$3 million.
- Fat Brands, Inc., (C.D. Cal.). Rosen Lead Counsel. \$3 million.
- China Finance Online Co. Limited, (S.D.N.Y.). Rosen Lead Counsel. \$3 million.
- Skilled Healthcare Group, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$3 million.
- Spectrum Pharms. Inc., (D. Nev.). Rosen Lead Counsel. \$2.995 million.
- MiMedx Group, Inc., (N.D. Ga.). Rosen Lead Counsel. \$2.979 million.
- Pegasus Communications Corp, (E.D. Pa.). Rosen Lead Counsel. \$2.95 million.
- Albany Molecular Research, (E.D.N.Y.). Rosen Lead Counsel. \$2.868 million.
- Lihua International, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$2.865 million.
- TVIA, Inc., (N.D. Cal.). Rosen Lead Counsel. \$2.85 million.
- New Source Energy Partners LP, (S.D.N.Y.). Rosen Lead Counsel. \$2.85 million.
- Innocoll Holdings Public Ltd., (E.D. Pa.). Rosen Lead Counsel. \$2.755 million.
- Natural Health Trends Corp., et al., (N.D. Tex.). Rosen Lead Counsel. \$2.75 million.
- Sequans Communications, (E.D.N.Y.). Rosen Co-Lead Counsel. \$2.75 million.
- Akari Therapeutics PLC, (S.D.N.Y.). Rosen Lead Counsel. \$2.7 million.
- Electric Last Mile Solutions, (D.N.J.). Rosen Lead Counsel. \$2.7 million.
- Growlife, Inc., (C.D. Cal.). Rosen Lead Counsel. \$2.7 million (cash and stock).

- Tangoe, Inc., (D. Conn.). Rosen Co-Lead Counsel. \$2.55 million.
- Blue Ridge Bankshares, Inc., (E.D.N.Y.). Rosen Lead Counsel. \$2.5 million, pending Court approval.
- Twitter, Inc., (Cal. Superior). Rosen Co-Lead Counsel. \$2.5 million.
- Radient Pharmaceuticals Corporation, (C.D. Cal.). Rosen Lead Counsel. \$2.5 million.
- Robert T. Harvey Securities Litigation, (C.D. Cal.). Rosen Co-Lead Counsel. \$2.485
   million.
- China Education Alliance, Inc., (C.D. Cal.). Rosen Lead Counsel. \$2.425 million.
- Oasmia Pharmaceuticals AB., (E.D.N.Y.). Rosen Co-Lead Counsel. \$2.35 million.
- BioAmber, Inc., (E.D.N.Y.). Rosen Co-Lead Counsel. \$2.25 million.
- **DouYu International Holdings Ltd.**, (D.N.J.). Rosen Co-Lead Counsel. **\$2.25 million**, pending Court approval.
- NetApp, Inc., (N.D. Cal.). Rosen Lead Counsel. \$2.25 million.
- Akers Biosciences, Inc., (D.N.J.). Rosen Lead Counsel. \$2.25 million.
- Kanzhun Limited, (D.N.J.). Rosen Lead Counsel. \$2.25 million.
- SkyPeople Fruit Juice, (S.D.N.Y.). Rosen Lead Counsel. \$2.2 million.
- Caesarstone Sdot-Yam Ltd., (S.D.N.Y.). Rosen Co-Lead Counsel. \$2.2 million.
- RCI Hospitality Holdings Inc., (S.D. Tex.). Rosen Co-Lead Counsel. \$2.2 million.
- Fuwei Films, (S.D.N.Y.). Rosen Lead Counsel. \$2.15 million.
- Gulf Resources, Inc., (C.D. Cal.). Rosen Lead Counsel. \$2.125 million.
- PTC Inc., (D. Mass.). Rosen Lead Counsel. \$2.1 million.
- **DS** Healthcare Group, Inc., (S.D. Fla.). Rosen Lead Counsel. **\$2.1** million.
- Indivior PLC, (D.N.J.). Rosen Lead Counsel. \$2 million.

- Orient Paper, Inc., (C.D. Cal.). Rosen Lead Counsel. \$2 million.
- Mesoblast Limited, (S.D.N.Y.). Rosen Lead Counsel. \$2 million.
- GTT Communications, Inc., (C.D. Cal.). Rosen Lead Counsel. \$2 million.
- Latch, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$1.95 million.
- iBio, Inc., (D. Del.). Rosen Lead Counsel. \$1.875 million.
- CD Projekt SA, No. CV-20-11627 (FMO)(RAOx) (C.D. Cal.). \$1.85 million.
- Ignite Restaurant Group, Inc., (S.D. Tex.). Rosen Lead Counsel. \$1.8 million.
- Electronic Game Card, Inc., (C.D. Cal.). Rosen Lead Counsel. \$1.755 million.
- BMW AG, (D.N.J.). Rosen Lead Counsel. \$1.75 million.
- Natural Health Trends Corp., (C.D. Cal.). Rosen Co-Lead Counsel. \$1.75 million.
- Corrrevio Pharma Corp., (S.D.N.Y.). Rosen Co-Lead Counsel. \$1.75 million.
- Delstaff LLC (Merger Litigation), (Cal. Superior). \$1.6425 million.
- Worldwide Energy & Manufacturing USA, Inc, (Cal. Superior). Rosen Lead Counsel.
   \$1.615 million.
- Alliance MMA, Inc., (D.N.J.). Rosen Lead Counsel. \$1.55 million.
- Lightinthebox Holding Co., Ltd., (S.D.N.Y.). Rosen Lead Counsel. \$1.55 million.
- Nutracea, Inc., (D. Ariz.). Rosen Lead Counsel. \$1.5 million.
- Kraton Corporation, (S.D. Tex.). Rosen Lead Counsel. \$1.5 million.
- RMG Networks Holding Corporation (Merger Litigation), (Del. Ch.). \$1.5 million.
- BlueNRGY Group Ltd, f/k/a CBD Energy Ltd., (S.D. Tex.). Rosen Lead Counsel. \$1.5 million.
- Ambow Education Holding Ltd., (C.D. Cal.). Rosen Lead Counsel. \$1.5 million.
- Active Power, Inc., (W.D. Tex.). Rosen Lead Counsel. \$1.5 million.

- Northfield Laboratories, Inc., (N.D. Ill.). Rosen Lead Counsel. \$1.5 million.
- PartsBase.com, Inc., (S.D. Fla.). Rosen Lead Counsel. \$1.5 million.
- China Natural Gas, Inc., (D. Del.). Rosen Lead Counsel. \$1.5 million.
- FAB Universal Corp., (S.D.N.Y.). Rosen Co-Lead Counsel. \$1.5 million.
- Sogou, Inc., (S.D.N.Y.). Rosen Co-Lead Counsel. \$1.45 million.
- Code Rebel Corp., (S.D.N.Y.). Rosen Co-Lead Counsel. \$1.415 million.
- Empyrean Bioscience, (N.D. Ga.). Rosen Lead Counsel. \$1.4 million.
- Shattuck Labs, Inc., (E.D.N.Y.). Rosen Lead Counsel. \$1.4 million.
- Longeveron, Inc., (S.D. Fla.). Rosen Lead Counsel. \$1.395 million.
- Agria, Inc., (D.N.J.). Rosen Lead Counsel. \$1.3 million.
- Aterian, Inc., (S.D.N.Y.). Rosen Lead Counsel. \$1.3 million.
- CoCrystal Pharma, Inc., (D.N.J.). Rosen Lead Counsel. \$1.265 million.
- Wins Financial Holdings, Inc., (C.D. Cal.). Rosen Lead Counsel. \$1.26 million, pending Court approval.
- ERBA Diagnostics, Inc., (S.D. Fla.). Rosen Lead Counsel. \$1.215 million.
- Yingli Green Energy Holding Co. Ltd., (C.D. Cal.). Rosen Lead Counsel. \$1.2 million.
- Himax Technologies, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$1.2 million.
- Flight Safety Technologies, Inc., (D. Conn.). Rosen Lead Counsel. \$1.2 million.
- M.H. Meyerson & Co., (D.N.J.). Rosen Lead Counsel. \$1.2 million.
- Izea, Inc., (C.D. Cal.). Rosen Co-Lead Counsel. \$1.2 million.
- Hywin Holdings Ltd. (N.Y. Supreme). Rosen Lead Counsel. \$1 million, pending Court approval.
- India Globalization Capital, Inc., (D. Md.). Rosen Co-Lead Counsel. \$1 million.

- National Lampoon, Inc., (C.D. Cal.). Rosen Lead Counsel. \$1 million.
- Lentuo International, Inc., (C.D. Cal.). Rosen Lead Counsel. \$1 million.
- Katanga Mining Limited, (D.N.J.). Rosen Lead Counsel. \$1 million.
- Busybox.com, Inc., (Cal. Superior). Rosen Co-Lead Counsel. \$1 million.

# III. SECURITIES CLASS ACTIONS IN WHICH THE ROSEN LAW FIRM, P.A. IS CURRENTLY LEAD COUNSEL

<u>In re Maiden Holdings, Ltd. Securities Litigation</u>, No. 19-CV-5296-RMB-JS (D.N.J.) Rosen Co-Lead Counsel.

<u>In re ChinaCast Education Corporation Sec. Litig.</u>, No. CV 12-4621- JFW (PLAx) (C.D. Cal.). Rosen Co-Lead Counsel.

Kasillingam v. Tilray, Inc., No. 20-CV-3459 (PAC) (S.D.N.Y.). Rosen Lead Counsel.

<u>In re NIO, Inc. Securities Litigation</u>, No. 19-CV-1424 (NGG) (JRC) (E.D.N.Y.). Rosen Class Counsel.

<u>Lavin v. Virgin Galactic Holdings Inc.</u>, No. 21-CV-3070 (ARR)(TAM) (E.D.N.Y.). Rosen Lead Counsel.

<u>Handal v. Tenet Fintech Group, Inc.</u>, No. 21-cv-6461 (PKC)(RLM) (E.D.N.Y.). Rosen Lead Counsel.

Atery v. Astra Space, Inc., No. 22-cv-737 (NM)(MMH) (E.D.N.Y.). Rosen Co-Lead Counsel.

Hoang v. ContextLogic, Inc., No. 21-cv-3930-BLF (N.D. Cal.). Rosen Co-Lead Counsel.
 Mallozzi v. Innovative Industrial Properties, Inc., No. 22-cv-2359-EP-JRA (D.N.J.).
 Rosen Lead Counsel.

<u>Gru v. Axsome Therapeutics, Inc.</u>, No. 22-cv-3925 (AGS) (S.D.N.Y.). Rosen Co-Lead Counsel.

Farhar v. Ontrak, Inc., No. 21-CV-1987-FLA-A (C.D. Cal.). Rosen Lead Counsel.

In re Walmart Secs. Litig., No. 21-cv-55-CFC (D. Del.). Rosen Lead Counsel.

Sanchez v. Arrival SA, No. 220cv0172 (DG)(RLM) (E.D.N.Y.). Rosen Lead Counsel.

In re VEON Ltd. Sec. Litig., No. 15-cv-8672 (ALC)(OTW) (S.D.N.Y.). Rosen Lead Counsel.

<u>In re Volkswagen AG Sec. Litig.</u>, No. 22-cv-45-RDA-TCB (E.D. Va.). Rosen Lead Counsel.

<u>In re DiDi Global Inc. Sec. Litig.</u>, No. 21-CV-5807 (LAK) (S.D.N.Y). Rosen Lead Counsel.

<u>Patterson v. TerraForm Labs Pte Ltd.</u>, No. 22-cv-3600-TLT (N.D. Cal.). Rosen Lead Counsel.

Diaz v. The Gap, Inc., No. 22-cv-7371 (DG)(RER) (E.D.N.Y.). Rosen Lead Counsel.

Armbruster v. Gaia, Inc., No. 22-CV-3267 (D. Colo.). Rosen Lead Counsel.

Pang v. Levitt (Core Scientific, Inc.), No. 22-CV-1191-LY (W.D. Tex.). Rosen Lead Counsel.

Goodman v. Wheels Up Experience, Inc., No. 23-cv-2900 (OEM)(VMS) (E.D.N.Y.). Rosen Lead Counsel.

<u>In re Enovix Corp. Sec. Litig.</u>, No. 23-cv-71-SI (N.D. Cal.). Rosen Co-Lead Counsel.

Gambrill v. CS Disco, Inc., No. 23-cv-8270 (LAK)(SN) (S.D.N.Y.). Rosen Lead Counsel.

Lewandowski v. Tal Education Group, No. 23-cv-1769 (MEF) (JRA) (D.N.J.). Rosen Lead

HRSA-ILA Funds v. adidas AG, No. 23-CV-629-IM (D. Or.). Rosen Lead Counsel.

<u>Tan v. PacWest Bancorp.</u>, No. CV-23-1685 (JWH)(ADSx) (C.D. Cal.). Rosen Co-Lead Counsel.

Counsel.

Maschhoff v. Polished.com, No. 22-cv-6605 (NGG)(VMS) (E.D.N.Y.). Rosen Lead Counsel.

<u>Pelham v. VBIT Tech. Corp.</u>, No. 23-CV-162-CFC-SRF (D. Del.). Rosen Lead Counsel.
<u>Sporn v. Brainstorm Cell Therapeutics, Inc.</u>, No. 23-cv-9630 (DEH) (S.D.N.Y.) Rosen Lead Counsel.

<u>In re GigaCloud Tech. Sec. Litig.</u>, No. 23-cv-10645 (JMF) (S.D.N.Y.). Rosen Co-Lead Counsel.

Glantz v. James River Group Holdings Ltd., No. 23-cv-10000 (LJL). Rosen Lead Counsel.

Schelling v. Microvast Holdings, Inc., No. 23-cv-4565 (S.D. Tex.). Rosen Co-Lead

Counsel.

Sigman v. Nuscale Power Corp., No. 23-cv-1689-IM (D. Or.). Rosen Lead Counsel.

Spitzer v. Flexon, No. 23-cv-8659-HDV (C.D. Cal.). Rosen Co-Lead Counsel.

<u>Jaar v. Northern Genesis Acquisition Corp.</u>, No. 24-cv-2155 (JLR) (S.D.N.Y.). Rosen Lead Counsel.

<u>Taylor v. The Chemours Company</u>, No. 24-cv-361-RGA (D. Del.). Rosen Lead Counsel.

Nowakowski v. AXT, Inc., No. 24-cv-2778-MMC (N.D. Cal.). Rosen Lead Counsel.

<u>Dorin v. Exscientia PLC</u>, No. 24-cv-5692-RMD-AMD (D.N.J.). Rosen Lead Counsel.

<u>Pujo v. EHang Holdings Limited</u>, No. 23-CV-10165-FLA (C.D. Cal.). Rosen Lead Counsel.

<u>In re Intel Corp. Sec. Litig.</u>, No. 24-cv-2683-TLT (N.D. Cal.). Rosen Co-Lead Counsel.

<u>In re Altimmune, Inc. Sec. Litig.</u>, No. 24-cv-1315-ABA (D. Md.). Rosen Co-Lead Counsel.

Yarborough v. Ardelyx, Inc., No. 24-cv-12119-LTS (D. Mass.). Rosen Lead Counsel.