

REQUEST FOR NAMES, EMAIL ADDRESSES, AND MAILING ADDRESSES OF CLASS MEMBERS

STRATEGIC CLAIMS SERVICES
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November 7, 2025

This letter is being sent to all entities whose names have been made available to us, or which we believe may know of potential Settlement Class Members.

We request that you assist us in identifying any individuals/entities who fit the following description:

ALL PERSONS AND ENTITIES WHO PURCHASED OR OTHERWISE ACQUIRED AXSOME THERAPEUTICS, INC. ("AXSOME") COMMON STOCK DURING THE PERIOD FROM MAY 10, 2021 TO APRIL 22, 2022 INCLUSIVE.

Excluded from the Settlement Class are: (i) the Individual Defendants; (ii) the officers and directors of Axsome and its affiliates and subsidiaries; (iii) members of the officers' and directors' immediate families and their legal representatives, heirs, successors or assigns; and (iv) any entity in which the Individual Defendants, the officers and directors of Axsome and its affiliates and subsidiaries, or members of the Individual Defendants' and officers' and directors' immediate families, legal representatives, heirs, successors, or assigns have or had an interest in excess of 5%.

The information below may assist you in finding the above requested information:

<i>Axsome Therapeutics, Inc. Securities Litigation</i> Case No.: 1:22-cv-3925-LGS Exclusion Deadline: January 13, 2026 Objection Deadline: January 13, 2026 Notice To Appear Deadline: January 13, 2026 Claim Filing Deadline: February 3, 2026 Settlement Hearing: February 10, 2026	Security Identifiers: Cusip Number: 05464T104 ISIN: US05464T1043 SEDOL: BYZR4X4 Ticker Symbol: NASDAQ: AXSM
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PER COURT ORDER, PLEASE RESPOND WITHIN 10 CALENDAR DAYS FROM THE DATE OF THIS NOTICE.

Please comply in one of the following ways:

1. If you have no beneficial purchasers/owners, please advise us in writing; or
2. **Supply us with names, last known addresses, and email addresses (to the extent known)** of your beneficial purchasers/owners and we will do the emailing of the link to the electronic Notice of Pendency and Proposed Settlement of Class Action ("Long Notice") and the Proof of Claim and Release Form ("Claim Form") (collectively, the "Long Notice and Claim Form") or mailing of the Postcard Notice. Please provide us this information electronically. If you are not able to do this, labels will be accepted, but it is important that a hardcopy list also be submitted of your clients; or
3. Advise us of how many beneficial purchasers/owners you have, and we will supply you with ample postcards to do the mailing. After the receipt of the Postcard Notices, you have ten (10) calendar days to mail them;¹ or
4. Request a link to the electronic Long Notice and Claim Form and email the link to each of your beneficial purchasers/owners within ten (10) calendar days after receipt thereof.

You can bill us for any reasonable expenses actually incurred and **not to exceed:**

- **\$0.02 per Long Notice and Claim Form link emailed, OR**
- **\$0.02 per name, address and email address** if you are providing us the records, OR
- **\$0.02 per name and address, including materials, plus postage at the pre-sort rate used by the Claims Administrator** if you are requesting the Postcard Notice and performing the mailing.

All invoices must be received within 30 days of this letter.

You are on record as having been notified of the legal matter. A copy of the Long Notice and Claim Form and other important case-related documents are available on our website at www.strategicclaims.net/Axsome/. You can also request a copy via email at info@strategicclaims.net.

Thank you for your prompt response.

Sincerely,

Claims Administrator
Axsome Therapeutics, Inc. Securities Litigation

¹ YOU ARE NOT AUTHORIZED TO PRINT THE POSTCARD NOTICE YOURSELF. POSTCARD NOTICES MAY ONLY BE PRINTED BY THE COURT-APPOINTED CLAIMS ADMINISTRATOR.