

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

ALI SHAHSAVANPOUR and JAIMIN PATEL,
Individually and on Behalf of All Others Similarly
Situated,

Plaintiffs,

v.

MAREX GROUP PLC, IAN LOWITT, and ROBERT
IRVIN,

Defendants.

Case No. 1:25-cv-08393-SDA
[rel. 1:25-cv-08368-RA-SDA]

CLASS ACTION

**NOTICE OF (I) PENDENCY OF CLASS ACTION, CERTIFICATION OF SETTLEMENT CLASS, AND
PROPOSED SETTLEMENT; (II) FINAL APPROVAL HEARING; AND (III) MOTION FOR AN
AWARD OF ATTORNEYS' FEES AND LITIGATION EXPENSES**

A Federal Court authorized this Notice. This is not a solicitation from a lawyer.

NOTICE OF PENDENCY OF CLASS ACTION: Please be advised that your rights may be affected by the above-captioned securities class action (the “Action”) pending in the United States District Court for the Southern District of New York (the “Court”) if, during the period from November 7, 2024 through August 5, 2025, inclusive (the “Settlement Class Period”), you purchased or otherwise acquired ordinary shares of Marex Group plc (“Marex” or the “Company”) (Nasdaq ticker: MRX) (“Marex Ordinary Shares”) and were allegedly damaged thereby.¹

NOTICE OF SETTLEMENT: Please also be advised that the Court-appointed Lead Plaintiffs, Ali Shahsavanpour and Jaimin Patel (“Lead Plaintiffs”), on behalf of themselves and the Court-certified Settlement Class (defined in paragraph 17 below), have reached a proposed settlement of the Action for \$950,000.00 in cash that, if approved, will resolve all claims in the Action (the “Settlement”).

PLEASE READ THIS NOTICE CAREFULLY. This Notice explains important rights you may have, including the possible receipt of cash from the Settlement. If you are a member of the Settlement Class, your legal rights will be affected whether or not you act. If you have any questions about this Notice, the proposed Settlement, or your eligibility to participate in the Settlement, please DO NOT contact the Court, Marex, the other Defendants, or their counsel. All questions should be directed to the Claims Administrator or Lead Counsel (see paragraph 46 below).

1. Description of the Action and the Settlement Class: This Notice relates to a proposed Settlement of claims in a pending securities class action brought by investors alleging, among other things, that Defendants Marex, Ian Theo Lowitt, and Crispin Robert John Irvin (the “Individual Defendants” and, collectively with Marex, “Defendants”) violated the federal securities laws by making materially false and misleading statements and omissions concerning the composition and quality of the revenues reported in the “Securities” revenue line of Marex’s Market Making segment. A more detailed description of the Action is set forth in paragraphs 9–16 below. The proposed Settlement, if approved by the Court, will resolve the claims of the Settlement Class, as defined in paragraph 17 below.

2. Statement of the Settlement Class’s Recovery: Subject to Court approval, Lead Plaintiffs, on behalf of themselves and the Settlement Class, have agreed to settle the Action in exchange for a non-reversionary payment of \$950,000.00 in cash (the “Settlement Amount”), to be deposited into an interest-bearing escrow account. The Net Settlement Fund (i.e., the Settlement Amount plus any and all interest earned thereon (the “Settlement Fund”)),

¹ All capitalized terms used in this Notice that are not otherwise defined herein shall have the meanings ascribed to them in the Stipulation and Agreement of Settlement dated August 20, 2026 (the “Stipulation”), which is available at www.strategicclaims.net/Marex/.

less (i) any Taxes and Tax Expenses, (ii) any Notice and Administration Costs, (iii) any Litigation Expenses awarded by the Court, (iv) any attorneys' fees awarded by the Court, and (v) any other Court-approved deductions) will be distributed in accordance with a plan of allocation that is approved by the Court, which will determine how the Net Settlement Fund shall be allocated among members of the Settlement Class. The proposed plan of allocation (the "Plan of Allocation") is set forth in the Appendix at the end of this Notice and is posted at www.strategicclaims.net/Marex/.

3. Estimate of Average Amount of Recovery Per Share: Based on Lead Counsel's estimate of the number of Marex Ordinary Shares purchased or acquired during the Settlement Class Period that may have been affected by the conduct at issue in the Action, and assuming that all Settlement Class Members elect to participate in the Settlement, the estimated average recovery (before the deduction of any Court-approved fees, expenses, and costs as described herein) would be approximately \$0.03 per allegedly damaged share. Settlement Class Members should note, however, that the foregoing average recovery per share is only an estimate. Some Settlement Class Members may recover more or less than this estimated amount depending on, among other factors, when and at what prices they purchased or acquired and sold their Marex Ordinary Shares, and the total number and recognized loss amounts of valid Claims submitted. Distributions to Authorized Claimants will be made based on the Plan of Allocation set forth herein or such other plan of allocation as may be ordered by the Court.

4. Average Amount of Damages Per Share: The Parties do not agree on the average amount of damages per share that would be recoverable if Lead Plaintiffs were to prevail in the Action. Lead Plaintiffs contend that recoverable damages would be measured by the artificial inflation in the price of Marex Ordinary Shares attributable to the alleged misstatements and omissions, subject to proof of liability and loss causation and to the limitations on damages imposed by the PSLRA, including 15 U.S.C. § 78u-4(e). Among other things, Defendants deny that they made any materially false or misleading statement or omission, deny that any Settlement Class Member was damaged by any conduct alleged in the Action, contend that any decline in the price of Marex Ordinary Shares was caused in whole or in part by factors other than the alleged misstatements and omissions, and expressly deny all allegations of fault, liability, wrongdoing, or damages whatsoever.

5. Attorneys' Fees and Expenses Sought: Plaintiffs' Counsel have not received any payment of attorneys' fees for their representation of the Settlement Class and have advanced the funds to pay the expenses necessarily incurred to prosecute this Action. Court-appointed Lead Counsel, Schall Brown & Schwartz LLP ("Lead Counsel"), will apply to the Court for an award of attorneys' fees in an amount not to exceed one-third of the Settlement Amount (i.e., \$316,666.67), plus a proportionate share of the interest earned on the Settlement Fund. In addition, Lead Counsel will apply for payment of Litigation Expenses incurred in connection with the institution, prosecution, and resolution of the Action in an amount not to exceed \$75,000, which may include an application for awards to Lead Plaintiffs of no more than \$2,500 each, pursuant to 15 U.S.C. § 78u-4(a)(4), in connection with their representation of the Settlement Class. Any fees and expenses awarded by the Court will be paid from the Settlement Fund; Settlement Class Members are not personally liable for any such fees or expenses. Pursuant to fee-sharing agreements and the Stipulation, a portion of any attorneys' fees awarded by the Court will be shared with or allocated as follows: a total of 30% to Glancy Prongay Wolke & Rotter LLP (formerly known as Glancy Prongay & Murray LLP) and Holzer & Holzer, LLC, who will share that 30% equally (15% each). The balance will be retained by Lead Counsel, which will pay 10% of such balance to local counsel Sparacino PLLC (7% of the total fee awarded). Any² such sharing or allocation will be paid solely out of the attorneys' fees awarded to Lead Counsel and will not increase the amount of fees or expenses paid from the Settlement Fund. If the Court approves Lead Counsel's fee and expense application in full, the estimated average cost would be approximately \$0.01 per allegedly damaged share.

6. Identification of Attorneys' Representative: Lead Plaintiffs and the Settlement Class are represented by Brian J. Schall, Esq. and William M. Brody, Esq. of Schall Brown & Schwartz LLP, 2049 Century Park East, Suite 2460, Los Angeles, CA 90067, (310) 301-3335, brian@schallfirm.com, wbrody@schallfirm.com.

² The applicants for an award of attorneys' fees, and their addresses, are: Schall Brown & Schwartz LLP, 2049 Century Park East, Suite 2460, Los Angeles, CA 90067; Glancy Prongay Wolke & Rotter LLP, 1925 Century Park East, Suite 2100, Los Angeles, CA 90067; Holzer & Holzer, LLC, 1050 Crown Pointe Parkway, Suite 940, Atlanta, GA 30338; and Sparacino PLLC, 1920 L Street, NW, Suite 835, Washington, D.C. 20036.

7. Reasons for the Settlement: Lead Plaintiffs' principal reason for entering into the Settlement is the substantial, immediate, and certain cash benefit for the Settlement Class without the risk or the delays inherent in further litigation. The proposed Settlement was reached at an early stage of the Action, before any ruling on the merits of the claims, and the substantial cash benefit provided by the Settlement must be considered against the significant risk, expense, and delay of prevailing on a motion to dismiss, at class certification, at summary judgment, at trial, and on any appeals. Among other things, Lead Plaintiffs faced substantial risks in establishing loss causation and damages — that is, in proving the extent to which the declines in the price of Marex Ordinary Shares were caused by the alleged misstatements and omissions, as opposed to other factors — which significantly affected the realistic range of any class-wide recovery. Defendants, who deny all allegations of wrongdoing or liability whatsoever, are entering into the Settlement solely to eliminate the uncertainty, burden, and expense of further protracted litigation.

YOUR LEGAL RIGHTS AND OPTIONS IN THE SETTLEMENT:

SUBMIT A CLAIM FORM ONLINE OR POSTMARKED NO LATER THAN JANUARY 11, 2027.	This is the only way to be potentially eligible to receive a payment from the Settlement Fund. If you are a Settlement Class Member and you remain in the Settlement Class, you will be bound by the Settlement as approved by the Court and you will give up any Released Plaintiffs' Claims (defined in paragraph 27 below) that you have against Defendants and the other Defendants' Releasees (defined in paragraph 28 below), so it is in your interest to submit a Claim Form.
EXCLUDE YOURSELF FROM THE SETTLEMENT CLASS BY SUBMITTING A WRITTEN REQUEST FOR EXCLUSION SO THAT IT IS RECEIVED NO LATER THAN JANUARY 12, 2027.	If you exclude yourself from the Settlement Class, you will not be eligible to receive any payment from the Settlement Fund. This is the only option that allows you ever to be part of any other lawsuit against any of the Defendants or the other Defendants' Releasees concerning the Released Plaintiffs' Claims.
OBJECT TO THE SETTLEMENT BY SUBMITTING A WRITTEN OBJECTION SO THAT IT IS RECEIVED NO LATER THAN JANUARY 12, 2027.	If you do not like the proposed Settlement, the proposed Plan of Allocation, or the request for attorneys' fees and Litigation Expenses, you may write to the Court and explain why. You cannot object to the Settlement, the Plan of Allocation, or the fee and expense request unless you are a Settlement Class Member and do not exclude yourself from the Settlement Class.
ATTEND THE HEARING ON FEBRUARY 2, 2027 AT 11:00 A.M., AND FILE A NOTICE OF INTENTION TO APPEAR SO THAT IT IS RECEIVED NO LATER THAN JANUARY 12, 2027.	Filing a written objection and notice of intention to appear allows you to speak in Court, at the discretion of the Court, at the Final Approval Hearing about the fairness of the proposed Settlement, the Plan of Allocation, and/or the request for attorneys' fees and Litigation Expenses. If you submit a written objection, you may (but do not have to) attend the hearing. You may also attend the Final Approval Hearing without submitting an objection, but you will not be permitted to address the Court unless you have complied with the procedures described in this Notice, unless the Court orders otherwise.
DO NOTHING	If you are a member of the Settlement Class and you do not submit a valid Claim Form, you will not be eligible to receive any payment from the Settlement Fund. You will, however, remain a member of the Settlement Class, which means that you give up your right to sue about the claims that are resolved by the Settlement and you will be bound by any judgments or orders entered by the Court in the Action.

WHY DID I GET A NOTICE?

8. The Court directed that the Postcard Notice be mailed or the link to the electronic Notice and Claim Form on the Settlement website be emailed to you because you, someone in your family, or an investment account for which you serve as a custodian may have purchased or otherwise acquired Marex Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction during the Settlement Class Period. The Court directed that this Notice be posted at www.strategicclaims.net/Marex/ and mailed or emailed upon request to the Claims Administrator. As a potential Settlement Class Member, you have a right to know about your options before the Court rules on the proposed Settlement, and about how this class action may affect your legal rights. The issuance of this Notice is not an expression of any opinion by the Court concerning the merits of any claim in the Action. If the Court approves the Settlement and a plan of allocation, payments to Authorized Claimants will be made after any appeals are resolved and the processing of all Claims is completed. Please be patient, as this process takes time.

WHAT IS THIS CASE ABOUT?

9. The initial complaint in the Action was filed on October 9, 2025, asserting claims against Defendants under Sections 10(b) and 20(a) of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 10b-5 promulgated thereunder. A related action, *Katz v. Marex Group plc*, No. 1:25-cv-08368-RA-SDA (S.D.N.Y.), was voluntarily dismissed on April 13, 2026.

10. By Opinion and Order dated February 2, 2026, the Court appointed Ali Shahsavanpour and Jaimin Patel as Lead Plaintiffs and approved their selection of Schall Brown & Schwartz LLP (formerly known as The Schall Law Firm) as Lead Counsel.

11. On April 20, 2026, Lead Plaintiffs filed the First Amended Class Action Complaint (the “Complaint”), asserting claims on behalf of purchasers and acquirers of Marex Ordinary Shares between November 7, 2024 and August 5, 2025, inclusive. The Complaint alleges, among other things, that Defendants made materially false and misleading statements and omissions concerning the composition and quality of the revenues reported in the “Securities” revenue line of Marex’s Market Making segment and the relationship of those revenues to the Company’s published “Marex volumes: Financials” key performance indicator, and that the price of Marex Ordinary Shares was artificially inflated during the Settlement Class Period and declined after the publication of a research report on August 5, 2025. Defendants dispute each of these allegations, deny that they made any materially false or misleading statement or omission, and deny that any Settlement Class Member suffered any damages as a result of any conduct of Defendants.

12. No motion to dismiss the Complaint had been filed, and no discovery had been taken, when the Parties reached their agreement in principle to settle. Beginning in April 2026, counsel for the Parties engaged in extended, arm’s-length settlement negotiations, conducted directly between experienced counsel, which included the exchange of the Parties’ respective assessments of the strengths and weaknesses of the claims and defenses, including as to liability, loss causation, and damages. Those negotiations culminated in an agreement in principle to settle the Action for \$950,000.00 in cash, memorialized in a confidential term sheet executed on or about June 2, 2026, and subsequently set forth in the Stipulation.

13. On September 3, 2026, the Court entered its Order Preliminarily Approving Settlement and Providing for Notice (the “Preliminary Approval Order”), which preliminarily approved the Settlement, certified the Settlement Class for settlement purposes only, authorized this Notice, and scheduled the Final Approval Hearing to consider, among other things, whether to grant final approval of the Settlement.

14. Based on their investigation and prosecution of the Action and their assessment of the risks of continued litigation, Lead Plaintiffs and Lead Counsel have concluded that the terms and conditions of the Settlement are fair, reasonable, and adequate to the Settlement Class, and in its best interests.

15. The Stipulation and the Settlement constitute a compromise of matters that are in dispute among the Parties. Defendants have entered into the Stipulation solely to eliminate the uncertainty, burden, and expense of further protracted litigation. The Settlement may not be construed as an admission of any wrongdoing by any Defendant, or as an admission or concession on the part of Lead Plaintiffs of any infirmity in the claims asserted in the Action.

15A. In addition to the Stipulation, the Parties entered into a confidential Supplemental Agreement Regarding Requests for Exclusion. Under that agreement, Marex has the sole and exclusive option, but not the obligation, to

terminate the Settlement if Settlement Class Members who timely and validly request exclusion from the Settlement Class collectively purchased or otherwise acquired Marex ordinary shares exceeding an agreed-upon amount. As is standard in securities class action settlements, the specific threshold is kept confidential to avoid encouraging the formation of groups of opt-outs for the sole purpose of leveraging individual payments. The Supplemental Agreement may be submitted to the Court in camera or under seal.

16. **PLEASE NOTE:** Receipt of the Postcard Notice or this Notice does not mean that you are a Settlement Class Member or that you will be entitled to receive proceeds from the Settlement. If you wish to be eligible to participate in the distribution of proceeds from the Settlement, you are required to submit the Claim Form that is available at www.strategicclaims.net/Marex/, together with the required supporting documentation, online or postmarked no later than January 11, 2027.

HOW DO I KNOW IF I AM AFFECTED BY THE SETTLEMENT? WHO IS INCLUDED IN THE SETTLEMENT CLASS?

17. If you are a member of the Settlement Class, you are subject to the Settlement unless you timely request exclusion. The Settlement Class consists of:

All persons and entities that purchased or otherwise acquired Marex Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction between November 7, 2024 and August 5, 2025, inclusive, and were allegedly damaged thereby.

Excluded from the Settlement Class are: (i) Defendants; (ii) the present and former officers and directors of Marex during the Settlement Class Period; (iii) the Immediate Family members of any of the foregoing natural persons; (iv) any entity in which any Defendant has or had a controlling interest; (v) the legal representatives, heirs, successors, and assigns of any of the foregoing; and (vi) those persons and entities who or which timely and validly request exclusion from the Settlement Class in accordance with the Preliminary Approval Order and this Notice, where such request is accepted by the Court. See “What If I Do Not Want To Be A Member Of The Settlement Class?” below.

WHAT ARE LEAD PLAINTIFFS’ REASONS FOR THE SETTLEMENT?

18. Lead Plaintiffs and Lead Counsel recognize the expense and length of continued proceedings necessary to pursue their claims against Defendants through motion practice, discovery, class certification, summary judgment, trial, and appeals, as well as the substantial risks they would face in establishing liability and damages. Among other things, Defendants would assert that the challenged statements were not materially false or misleading, that they were not made with the requisite state of mind, and that the alleged losses were not caused by the alleged misstatements. The risk as to loss causation was particularly significant: Defendants would contend that all or a substantial portion of the decline in the price of Marex Ordinary Shares was attributable to factors other than the alleged misstatements and omissions, which could have sharply limited any recoverable damages even if liability were established. Even if the hurdles to establishing liability were overcome, the amount of damages that could be attributed to the allegedly false and misleading statements would be vigorously contested. Lead Plaintiffs would have to prevail at several stages, and even if they prevailed, they would also need to prevail on any appeals that would likely follow, with any recovery delayed for years.

19. In light of these risks, the amount of the Settlement, and the immediacy of recovery to the Settlement Class, Lead Plaintiffs and Lead Counsel believe that the proposed Settlement is fair, reasonable, and adequate, and in the best interests of the Settlement Class. The Settlement provides a substantial, certain, and immediate benefit — \$950,000.00 in cash (less the various deductions described in this Notice) — as compared to the risk that the claims would produce a smaller recovery, or no recovery, after motion practice, class certification, summary judgment, trial, and appeals, possibly years from now.

20. Defendants have denied and continue to deny each and all of the claims and contentions asserted against them in the Action and deny having engaged in any wrongdoing or violation of law whatsoever. Defendants have agreed to the Settlement solely to eliminate the burden and expense of continued litigation. The Settlement may not be construed as an admission of any wrongdoing by any of the Defendants.

WHAT MIGHT HAPPEN IF THERE WERE NO SETTLEMENT?

21. If there were no Settlement and Lead Plaintiffs failed to establish any essential legal or factual element of their claims against Defendants, neither Lead Plaintiffs nor the other members of the Settlement Class would recover anything from Defendants. Also, if Defendants were successful in proving any of their defenses — on a motion to dismiss, at class certification, at summary judgment, at trial, or on appeal — the Settlement Class could recover substantially less than the amount provided in the Settlement, or nothing at all.

HOW ARE SETTLEMENT CLASS MEMBERS AFFECTED BY THE ACTION AND THE SETTLEMENT?

22. As a Settlement Class Member, you are represented by Lead Plaintiffs and Lead Counsel, unless you enter an appearance through counsel of your own choice at your own expense. You are not required to retain your own counsel, but if you choose to do so, such counsel must file a notice of appearance on your behalf.

23. If you are a Settlement Class Member and do not wish to remain a Settlement Class Member, you may exclude yourself from the Settlement Class by following the instructions in the section entitled “What If I Do Not Want To Be A Member Of The Settlement Class? How Do I Exclude Myself?” below.

24. If you are a Settlement Class Member and you wish to object to the Settlement, the Plan of Allocation, and/or the fee and expense motion, and you do not exclude yourself from the Settlement Class, you may present your objection by following the instructions in the section entitled “When And Where Will The Court Decide Whether To Approve The Settlement?” below.

25. If you are a Settlement Class Member and you do not exclude yourself from the Settlement Class, you will be bound by any orders issued by the Court. If the Settlement is approved, the Court will enter a judgment (the “Judgment”). The Judgment will dismiss with prejudice the claims against Defendants and will provide that, upon the Effective Date of the Settlement, Lead Plaintiffs and each of the other Settlement Class Members, on behalf of themselves and their respective heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, discharged, and dismissed each and every Released Plaintiffs’ Claim against Defendants and the other Defendants’ Releasees, and shall forever be barred and enjoined from commencing, instituting, prosecuting, or continuing to prosecute any of the Released Plaintiffs’ Claims against any of the Defendants’ Releasees.

26. The Judgment will also provide that, upon the Effective Date, Defendants, on behalf of themselves and the other Defendants’ Releasees, shall be deemed to have released each and every Released Defendants’ Claim against Lead Plaintiffs and the other Plaintiffs’ Releasees — that is, in general, all claims arising out of the institution, prosecution, or settlement of the claims asserted in the Action, as more fully described in the Stipulation.

27. “Released Plaintiffs’ Claims,” as more fully defined in ¶ 1.45 of the Stipulation, means, to the fullest extent permitted by law, all claims (including Unknown Claims), demands, losses, rights, liabilities, and causes of action, of every nature and description whatsoever, whether arising under federal, state, local, statutory, common, or foreign law, whether brought directly or indirectly, that Lead Plaintiffs or any other member of the Settlement Class (i) asserted in the Action or the Complaint, or (ii) could have asserted in the Action or in any other action or forum, that (a) arise out of, are based upon, or relate to in any way any of the allegations, facts, transactions, events, matters, occurrences, acts, disclosures, statements, representations, omissions, or failures to act involved, set forth, alleged, or referred to in the Action or the Complaint, and (b) arise out of, are based upon, or relate to in any way the purchase, acquisition, holding, sale, or disposition of Marex Ordinary Shares during the Settlement Class Period. Released Plaintiffs’ Claims do not include claims relating to the enforcement of the Settlement or the claims of persons who timely and validly request exclusion. The full definitions of the Released Claims, the Releasees, and the related terms are set forth in the Stipulation, which is available at www.strategicclaims.net/Marex/, and which controls.

28. “Defendants’ Releasees,” as more fully defined in ¶ 1.14 of the Stipulation, means Defendants, Defendants’ Counsel, and Defendants’ directors’ and officers’ liability insurance carriers, and each of their respective predecessors, successors, parents, subsidiaries, affiliates, related entities and divisions, and each of their respective present and former officers, directors, employees, agents, shareholders, attorneys, insurers and reinsurers,

accountants, auditors, advisors, representatives, and assigns, among others, as well as, with respect to the Individual Defendants, their Immediate Family members, heirs, executors, estates, and related persons and entities, in each case as set forth in the Stipulation.

29. “Unknown Claims,” as more fully defined in ¶ 1.62 of the Stipulation, means, in general, any Released Claims that a releasing party does not know or suspect to exist in his, her, or its favor at the time of the release, which, if known, might have affected his, her, or its decisions with respect to the Settlement. The Stipulation provides that, upon the Effective Date, the releasing parties shall be deemed to have waived any and all provisions, rights, and benefits conferred by California Civil Code § 1542 — which provides that a general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known, would have materially affected his or her settlement — and by any comparable law or principle.

HOW DO I PARTICIPATE IN THE SETTLEMENT? WHAT DO I NEED TO DO?

30. To be potentially eligible for a payment from the Settlement, you must be a member of the Settlement Class and you must timely complete and return a valid Claim Form, with adequate supporting documentation, postmarked — or submitted online at the Settlement website by 11:59 p.m. Eastern Time — no later than January 11, 2027. A Claim Form is available at www.strategicclaims.net/Marex/, or you may request one by calling the Claims Administrator toll-free at (866) 274-4004 or by writing to: Marex Securities Litigation, c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson St., Suite 205, Media, PA 19063. Please retain all records of your ownership of, and transactions in, Marex Ordinary Shares, as they may be needed to document your Claim. If you request exclusion from the Settlement Class or do not submit a timely and valid Claim Form, you will not be eligible to share in the Net Settlement Fund.

HOW MUCH WILL MY PAYMENT BE?

31. At this time, it is not possible to determine how much any individual Settlement Class Member may receive from the Settlement. The Net Settlement Fund will be distributed to Authorized Claimants on a pro rata basis based on the relative size of their Recognized Claims, as calculated under the Court-approved Plan of Allocation. Lead Counsel estimates that the Net Settlement Fund (after deduction of the requested attorneys’ fees and Litigation Expenses, and estimated Notice and Administration Costs and Taxes) will be approximately \$480,000, or approximately 50% of the Settlement Fund. The proposed Plan of Allocation is set forth in the Appendix to this Notice.

32. The Net Settlement Fund will not be distributed unless and until the Court has approved the Settlement and a plan of allocation and the Effective Date has occurred, and a Class Distribution Order has been entered.

33. Neither Defendants nor any other person or entity that paid any portion of the Settlement Amount is entitled to reclaim any portion of the Settlement Fund once the Effective Date occurs. Defendants shall have no liability, obligation, or responsibility for the administration of the Settlement, the disbursement of the Net Settlement Fund, or the Plan of Allocation.

34. Approval of the Settlement is independent from approval of the Plan of Allocation. Any determination with respect to the Plan of Allocation will not affect the Settlement, if approved.

35. Unless the Court otherwise orders, any Settlement Class Member who or which fails to submit a Claim Form postmarked or submitted online on or before January 11, 2027 shall be fully and forever barred from receiving payments pursuant to the Settlement, but will in all other respects remain a Settlement Class Member and be subject to the provisions of the Stipulation, including the terms of any Judgment entered and the Releases given.

36. Participants in and beneficiaries of employee benefit plans should NOT include in a Claim Form any Marex Ordinary Shares held through such plans; claims for those shares, if any, should be made by the plan’s trustee or fiduciary. Shares purchased or acquired outside of such plans may be claimed individually.

37. The Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Settlement Class Member. Each Claimant shall be deemed to have submitted to the jurisdiction of the Court with respect to his, her, or its Claim.

WHAT PAYMENT ARE THE ATTORNEYS FOR THE SETTLEMENT CLASS SEEKING? HOW WILL THE LAWYERS BE PAID?

38. Plaintiffs' Counsel have not received any payment for their services in pursuing the claims asserted in the Action on behalf of the Settlement Class, nor have they been reimbursed for their out-of-pocket expenses. Before final approval of the Settlement, Lead Counsel will apply to the Court, by separate motion, for an award of attorneys' fees in an amount not to exceed one-third of the Settlement Amount (i.e., \$316,666.67), plus a proportionate share of the interest earned on the Settlement Fund. At the same time, Lead Counsel will apply for payment of Litigation Expenses in an amount not to exceed \$75,000, which may include an application for awards to Lead Plaintiffs of no more than \$2,500 each pursuant to 15 U.S.C. § 78u-4(a)(4). The Court will determine the amount of any award. Any such amounts will be paid solely from the Settlement Fund; Settlement Class Members are not personally liable for any fees or expenses. Pursuant to fee-sharing agreements and the Stipulation, a portion of any attorneys' fees awarded by the Court will be shared with or allocated as follows: a total of 30% to Glancy Prongay Wolke & Rotter LLP and Holzer & Holzer, LLC, who will share that 30% equally (15% each). The balance will be retained by Lead Counsel, which will pay 10% of such balance to local counsel Sparacino PLLC (7% of the total fee awarded). Any such sharing or allocation will be paid solely out of the attorneys' fees awarded to Lead Counsel and will not increase the amount of fees or expenses paid from the Settlement Fund. Any attorneys' fees and Litigation Expenses awarded by the Court may be paid upon entry of the Court's award, notwithstanding any objection or appeal, subject to Lead Counsel's obligation to repay the amounts paid, with interest, if the award is reduced or reversed or the Settlement does not become effective.

WHAT IF I DO NOT WANT TO BE A MEMBER OF THE SETTLEMENT CLASS? HOW DO I EXCLUDE MYSELF?

39. Each Settlement Class Member will be bound by all determinations and judgments in this lawsuit, whether favorable or unfavorable, unless such person or entity mails or delivers a written request for exclusion from the Settlement Class, addressed to: Marex Securities Litigation — EXCLUSIONS, c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson St., Suite 205, Media, PA 19063. The exclusion request must be received no later than January 12, 2027. You will not be able to exclude yourself from the Settlement Class after that date. Each request for exclusion must: (a) state the name, address, email address, and telephone number of the person or entity requesting exclusion, and, in the case of entities, the name and telephone number of an appropriate contact person; (b) state that such person or entity "requests exclusion from the Settlement Class in *Shahsavanpour v. Marex Group plc, et al.*, Case No. 1:25-cv-08393-SDA (S.D.N.Y.)"; (c) state the number of Marex Ordinary Shares that the person or entity requesting exclusion purchased or otherwise acquired and sold during the Settlement Class Period, as well as the dates and prices of each such purchase, acquisition, and sale, and the number of Marex Ordinary Shares held at the beginning and end of the Settlement Class Period; and (d) be signed by the person or entity requesting exclusion or an authorized representative. A request for exclusion shall not be effective unless it provides all of the required information and is received within the time stated above, or is otherwise accepted by the Court.

40. If you do not want to be part of the Settlement Class, you must follow these instructions for exclusion even if you have pending, or later file, another lawsuit, arbitration, or proceeding relating to any Released Plaintiffs' Claim against any of the Defendants' Releasees. Excluding yourself is the only option that may allow you to be part of any other current or future lawsuit against Defendants or any of the other Defendants' Releasees concerning the Released Plaintiffs' Claims. However, if you exclude yourself, you will not be eligible to receive any payment from the Net Settlement Fund.

WHEN AND WHERE WILL THE COURT DECIDE WHETHER TO APPROVE THE SETTLEMENT? DO I HAVE TO COME TO THE HEARING? MAY I SPEAK AT THE HEARING IF I DO NOT LIKE THE SETTLEMENT?

41. The Court will hold the Final Approval Hearing on February 2, 2027 at 11:00 a.m. in Courtroom 11C of the Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, New York, New York 10007, to determine, among other things: (a) whether the proposed Settlement is fair, reasonable, and adequate and should be finally approved; (b) whether the Action should be dismissed with prejudice as against Defendants and the Judgment entered; (c) whether the proposed Plan of Allocation is fair and reasonable and should be approved; and (d) whether Lead Counsel's separate motion for an award of attorneys' fees and payment of Litigation Expenses (including

awards to Lead Plaintiffs) should be granted. The Court may adjourn the Final Approval Hearing, or conduct it remotely, without further notice to the Settlement Class other than a posting on the Settlement website or the Court's docket. You do not need to attend the Final Approval Hearing to receive a distribution from the Net Settlement Fund.

42. Any Settlement Class Member who or which does not request exclusion may object to the Settlement, the Plan of Allocation, and/or the fee and expense motion. Objections must be in writing, must be filed with the Clerk of Court, United States District Court for the Southern District of New York, Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, New York, NY 10007, and must be mailed or delivered to Lead Counsel and Defendants' Counsel at the addresses below, such that they are *received* no later than January 12, 2027:

Lead Counsel

SCHALL BROWN & SCHWARTZ LLP
Brian J. Schall, Esq.
William M. Brody, Esq.
2049 Century Park East, Suite 2460
Los Angeles, CA 90067
brian@schallfirm.com
wbrody@schallfirm.com

Defendants' Counsel

LATHAM & WATKINS LLP
Jeff G. Hammel, Esq.
Jason C. Hegt, Esq.
1271 Avenue of the Americas
New York, NY 10020
jeff.hammel@lw.com
jason.hegt@lw.com

43. Any objection: (a) must state the name, address, and telephone number of the person or entity objecting and must be signed by the objector; (b) must contain a statement of the objection or objections and the specific reasons for each objection, including any legal and evidentiary support the objector wishes to bring to the Court's attention; and (c) must include documents sufficient to prove membership in the Settlement Class, including the number of Marex Ordinary Shares that the objector purchased, acquired, and/or sold during the Settlement Class Period, the dates and prices of each such transaction, and the number of shares held at the beginning of the Settlement Class Period, with adequate supporting documentation; (d) must identify all other class-action settlements to which the objector or the objector's counsel has previously objected, by case name, court, and docket number; and (e) must state whether the objection applies only to the objector, to a specific subset of the Settlement Class, or to the entire Settlement Class. Objectors who wish to appear and present evidence at the Final Approval Hearing must include in their written objection or notice of appearance the identity of any witnesses they may call and any exhibits they intend to introduce.

44. Any Settlement Class Member who or which does not object in the manner provided herein shall be deemed to have waived his, her, or its right to object and shall be forever barred and foreclosed from objecting to the fairness, reasonableness, or adequacy of the Settlement, the Plan of Allocation, or the requested attorneys' fees and Litigation Expenses, or from otherwise being heard concerning them in this or any other proceeding.

WHAT IF I BOUGHT SHARES ON SOMEONE ELSE'S BEHALF?

45. If you purchased or otherwise acquired Marex Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction during the Settlement Class Period for the beneficial interest of persons or organizations other than yourself, you must either: (a) within seven (7) calendar days of receipt of the Postcard Notice or the Claims Administrator's notification of the Settlement, request from the Claims Administrator sufficient copies of the Postcard Notice to forward to all such beneficial owners, and within seven (7) calendar days of receipt of those Postcard Notices forward them to all such beneficial owners; (b) within seven (7) calendar days of receipt of the Postcard Notice or the Claims Administrator's notification of the Settlement, request from the Claims Administrator the link to the electronic Notice and Claim Form on the Settlement website and, within seven (7) calendar days of receipt of the link, forward it to all such beneficial owners for whom valid email addresses are available; or (c) within seven (7) calendar days of receipt of the Postcard Notice or the Claims Administrator's notification of the Settlement, provide a list of the names, mailing addresses, and email addresses (to the extent available) of all such beneficial owners to the Claims Administrator: Marex Securities Litigation, c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson St., Suite 205, Media, PA 19063. If you choose the third option, the Claims Administrator will send a link to the electronic Notice and Claim Form to the beneficial owners by email, where the Claims Administrator is provided with a valid email address, or a copy of the Postcard Notice by

mail if a valid email address is not provided. Upon full compliance with these directions, nominees may seek reimbursement of their reasonable expenses actually incurred in complying, as provided in the Preliminary Approval Order. Copies of this Notice and the Claim Form may also be obtained from the Settlement website, www.strategicclaims.net/Marex/, or by calling the Claims Administrator toll-free at (866) 274-4004. **Postcard Notices may only be printed by the Court-approved Claims Administrator.**

CAN I SEE THE COURT FILE? WHOM SHOULD I CONTACT IF I HAVE QUESTIONS?

46. This Notice contains only a summary of the terms of the proposed Settlement. For more detailed information, you may review the Stipulation and other Settlement documents at www.strategicclaims.net/Marex/. All papers filed in the Action are also available through the Court's Public Access to Court Electronic Records (PACER) system at <https://ecf.nysd.uscourts.gov>, or may be inspected at the Office of the Clerk of the United States District Court for the Southern District of New York, 500 Pearl Street, New York, NY 10007, during regular business hours. All questions about this Notice, the proposed Settlement, or your eligibility to participate in the Settlement should be directed to the Claims Administrator or Lead Counsel:

Claims Administrator

Marex Securities Litigation
c/o Strategic Claims Services
P.O. Box 230
600 N. Jackson St., Suite 205
Media, PA 19063
(866) 274-4004
Fax: (610) 565-7985
info@strategicclaims.net
www.strategicclaims.net/Marex/

Lead Counsel

SCHALL BROWN & SCHWARTZ LLP
Brian J. Schall, Esq.
William M. Brody, Esq.
2049 Century Park East, Suite 2460
Los Angeles, CA 90067
(310) 301-3335
brian@schallfirm.com
wbrody@schallfirm.com

PLEASE DO NOT CALL OR WRITE THE COURT, THE CLERK'S OFFICE, MAREX, THE OTHER DEFENDANTS, OR THEIR COUNSEL REGARDING THIS NOTICE.

Dated: September 3, 2026

BY ORDER OF THE COURT

United States District Court for the Southern District of New York

APPENDIX — PROPOSED PLAN OF ALLOCATION OF THE NET SETTLEMENT FUND

1. The objective of this proposed Plan of Allocation (the “Plan of Allocation” or “Plan”) is to equitably distribute the Net Settlement Fund among Settlement Class Members who suffered economic losses as a result of the alleged violations of the federal securities laws set forth in the Complaint. The calculations made pursuant to the Plan are not intended to be estimates of, nor indicative of, the amounts that Settlement Class Members might have been able to recover at trial, nor estimates of the amounts that will be paid to Authorized Claimants pursuant to the Settlement; they are the basis upon which the Net Settlement Fund will be proportionately allocated among Authorized Claimants.

2. The Plan reflects Lead Plaintiffs’ allegation that the price of Marex Ordinary Shares was artificially inflated during the Settlement Class Period as the result of allegedly materially false and misleading statements and omissions, and that the alleged artificial inflation was removed when the allegedly corrective information was disseminated to the market on August 5, 2025.³ In developing the Plan, Lead Counsel calculated the estimated amount of alleged artificial inflation in the price per share of Marex Ordinary Shares during the Settlement Class Period, as reflected in Table A below, principally by considering the price declines associated with the alleged corrective disclosure.

3. In order to have a Recognized Loss Amount under the Plan, a Settlement Class Member must have purchased or otherwise acquired Marex Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction during the Settlement Class Period and held those shares through at least the dissemination of the allegedly corrective information on August 5, 2025. Shares purchased or acquired during the Settlement Class Period and sold prior to that time will have a Recognized Loss Amount of zero, because any loss on those shares is not attributable to the alleged corrective disclosure.

4. **PSLRA 90-Day Limitation.** Pursuant to Section 21D(e)(1) of the Exchange Act, 15 U.S.C. § 78u-4(e)(1), in any private action arising under the Exchange Act in which the plaintiff seeks to establish damages by reference to the market price of a security, the award of damages to the plaintiff shall not exceed the difference between the purchase price paid by the plaintiff for the security and the mean trading price of that security during the 90-day period beginning on the date on which the information correcting the misstatement or omission that is the basis for the action is disseminated to the market. Consistent with that requirement, Recognized Loss Amounts are reduced to an appropriate extent by taking into account the closing prices of Marex Ordinary Shares during the 90-day look-back period, August 5, 2025 through and including November 2, 2025. The mean (average) closing price of Marex Ordinary Shares during that period was \$33.20 per share (see Table B).

CALCULATION OF RECOGNIZED LOSS AMOUNTS

5. For each Marex Ordinary Share purchased or otherwise acquired during the Settlement Class Period (i.e., from November 7, 2024 through and including August 5, 2025) that is listed in the Claim Form and for which adequate documentation is provided, a “Recognized Loss Amount” will be calculated by the Claims Administrator as follows (if a Recognized Loss Amount calculates to zero or a negative number, that Recognized Loss Amount will be set to zero):

(i) For shares sold before the opening of trading on August 5, 2025, the Recognized Loss Amount will be \$0.00;

(ii) For shares sold from August 5, 2025 through and including October 31, 2025 (the last trading day on or before the end of the 90-day look-back period on November 2, 2025), the Recognized Loss Amount will be the least of: (a) the amount of alleged artificial inflation per share on the date of purchase/acquisition, as stated in Table A; (b) the purchase/acquisition price minus the sale price; and (c) the purchase/acquisition price minus the average closing price from August 5, 2025 through the date of sale, as stated in Table B; and

(iii) For shares held as of the close of trading on October 31, 2025 (the last trading day on or before November 2, 2025), the Recognized Loss Amount will be the lesser of: (a) the amount of alleged artificial

³ The Plan of Allocation treats the research report published on August 5, 2025 as the corrective disclosure event. The Complaint alleges a single-day decline in the price of Marex Ordinary Shares on August 5, 2025 and a cumulative two-day close-to-close decline through August 6, 2025.

inflation per share on the date of purchase/acquisition, as stated in Table A; and (b) the purchase/acquisition price minus \$33.20, the mean closing price of Marex Ordinary Shares during the 90-day look-back period.

ADDITIONAL PROVISIONS

6. A Claimant's "Recognized Claim" will be the sum of his, her, or its Recognized Loss Amounts.

7. **FIFO Matching.** If a Settlement Class Member made more than one purchase/acquisition or sale of Marex Ordinary Shares during the Settlement Class Period or the 90-day look-back period, all purchases/acquisitions and sales will be matched on a First In, First Out ("FIFO") basis. Sales will be matched first against any holdings at the beginning of the Settlement Class Period, and then against purchases/acquisitions in chronological order. The Claims Administrator shall also compute each Claimant's overall market gain or loss. To establish whether a Claimant had an overall market gain or loss, the Claims Administrator shall determine (i) the total amount paid for Marex Ordinary Shares purchased or otherwise acquired on the Nasdaq Global Select Market or in another domestic transaction during the Settlement Class Period, less (ii) the total amount received for any sales of Marex Ordinary Shares sold during the Settlement Class Period and 90-day lookback period and (iii) the total holding value of Marex Ordinary Shares still held at the close of trading on October 31, 2025 (with such holdings valued at \$33.20 per share). Any sales that match against Marex Ordinary Shares held at the opening of trading will not be included in the calculation of a Claimant's overall market loss or gain. If the Claimant had an overall market gain, the Claimant's Recognized Claim shall be zero; if the Claimant suffered an overall market loss, the Claimant's Recognized Claim shall not exceed the amount of that loss.

8. **Purchase/Sale Dates.** Purchases/acquisitions and sales will be deemed to have occurred on the "contract" or "trade" date, as opposed to the "settlement" or "payment" date. The receipt or grant by gift, inheritance, or operation of law of Marex Ordinary Shares during the Settlement Class Period shall not be deemed a purchase, acquisition, or sale for the calculation of a Recognized Loss Amount, nor shall it be deemed an assignment of any claim relating to those shares, unless (i) the donor or decedent purchased or otherwise acquired the shares during the Settlement Class Period, (ii) the instrument of gift or assignment specifically provides that it is intended to transfer such rights, and (iii) no Claim was submitted by or on behalf of the donor, on behalf of the decedent, or by anyone else with respect to those shares. Shares acquired during the Settlement Class Period upon the exercise of an option shall be treated as purchased on the date of exercise for a price equal to the exercise price (plus any documented premium paid for the option). Shares received during the Settlement Class Period as compensation, without the payment of consideration (for example, upon the vesting of restricted stock units), shall have a Recognized Loss Amount of zero unless the Claimant establishes a bona fide economic acquisition cost.

9. **Short Sales.** The date of covering a "short sale" is deemed to be the date of purchase or acquisition of the shares, and the date of a "short sale" is deemed to be the date of sale of the shares. Under the Plan, however, the Recognized Loss Amount on "short sales" and on purchases covering "short sales" is zero. If a Claimant has an opening short position in Marex Ordinary Shares, the earliest purchases or acquisitions during the Settlement Class Period will be matched against that opening short position and will not be entitled to a recovery until the short position is fully covered.

10. **Pro Rata Distribution; \$10.00 Minimum.** The Net Settlement Fund will be distributed to Authorized Claimants on a pro rata basis: each Authorized Claimant's "Distribution Amount" will be the Authorized Claimant's Recognized Claim divided by the total Recognized Claims of all Authorized Claimants, multiplied by the total amount in the Net Settlement Fund. If the Net Settlement Fund exceeds the aggregate Recognized Claims of all Authorized Claimants, the excess will be distributed pro rata among all Authorized Claimants. If an Authorized Claimant's Distribution Amount calculates to less than \$10.00, no distribution will be made to that Authorized Claimant, and such amounts will be included in the distribution to Authorized Claimants whose Distribution Amounts are \$10.00 or greater.

11. **Re-Distributions; Residual.** After the initial distribution, the Claims Administrator will make reasonable and diligent efforts to have Authorized Claimants cash their distributions. To the extent any monies remain six (6) months after the initial distribution, if Lead Counsel, in consultation with the Claims Administrator, determines that it is cost-effective, the Claims Administrator will conduct one or more re-distributions (after payment of any unpaid fees and expenses of administration) to Authorized Claimants who have cashed their prior distributions and who would receive at least \$10.00. When it is determined that further re-distribution is not cost-effective, any remaining balance will be contributed to one or more non-sectarian, not-for-profit organization(s) serving the interests of

investors (such as investor protection or investor education) and having no affiliation with any Party or counsel in the Action, recommended by Lead Counsel and approved by the Court.

12. Payment pursuant to the Plan, or such other plan of allocation as may be approved by the Court, will be conclusive against all Authorized Claimants. No person or entity shall have any claim against Lead Plaintiffs, Lead Counsel, Plaintiffs' Counsel, Defendants, Defendants' Counsel, or any of the other Releasees, or the Claims Administrator, the Escrow Agent, or any other agent designated by Lead Counsel, arising from distributions made substantially in accordance with the Stipulation, the Court-approved plan of allocation, or further orders of the Court. The Plan of Allocation is not a necessary term of the Settlement, and it is not a condition of the Settlement that any particular plan of allocation be approved by the Court.

TABLE A

Date of Purchase/Acquisition of Marex Ordinary Shares	Estimated Alleged Artificial Inflation Per Share
November 7, 2024 – August 4, 2025	\$2.33
August 5, 2025	\$0.00
August 6, 2025 and thereafter	\$0.00

Table B (90-Day Look-Back Schedule). The schedule of the daily closing prices of Marex Ordinary Shares during the 90-day look-back period (August 5, 2025 through and including November 2, 2025), and the running average closing prices used to compute Recognized Loss Amounts under paragraph 5 above, is set forth in Table B, which is posted on the Settlement website at www.strategicclaims.net/Marex/ and is available from the Claims Administrator upon request.

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

ALI SHAHSAVANPOUR and JAIMIN PATEL,
Individually and on Behalf of All Others Similarly
Situated,

Plaintiffs,

v.

MAREX GROUP PLC, IAN LOWITT, and ROBERT
IRVIN,

Defendants.

Case No. 1:25-cv-08393-SDA

[rel. 1:25-cv-08368-RA-SDA]

CLASS ACTION

**PROOF OF CLAIM AND RELEASE
FORM**

I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the action entitled *Shahsavanpour v. Marex Group plc, et al.*, No. 1:25-cv-08393-SDA (S.D.N.Y.) (the “Action”), you must complete and, in Section V below, sign this Proof of Claim and Release Form (“Claim Form”).⁴ If you fail to submit a timely and properly addressed Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Claim Form does not ensure that you will share in the proceeds of the Settlement.

3. **THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.STRATEGICCLAIMS.NET/MAREX/ NO LATER THAN 11:59 P.M. EASTERN TIME ON January 11, 2027, OR, IF MAILED, BE POSTMARKED NO LATER THAN January 11, 2027, ADDRESSED AS FOLLOWS:** Marex Securities Litigation, c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson St., Suite 205, Media, PA 19063. An electronic signature or typed name submitted with an online Claim Form, together with the certifications in Section V below, shall have the same force and effect as an original handwritten signature.

4. Do not mail or deliver your Claim Form to the Court, the Parties, or their respective counsel. Submit your Claim Form only to the Claims Administrator.

5. If you are a member of the Settlement Class and you do not timely and validly request exclusion, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

II. CLAIMANT IDENTIFICATION

1. You are a Member of the Settlement Class if you purchased or otherwise acquired Marex Group plc (“Marex”) Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction from November 7, 2024 through August 5, 2025, inclusive (“Settlement Class Period”), and were allegedly damaged thereby. If you purchased and held the Marex Ordinary Shares in your name, you are the beneficial owner as well as the record owner. If, however, you purchased or otherwise acquired Marex Ordinary Shares on the Nasdaq Global Select Market or in another domestic transaction during the Settlement Class Period through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

⁴All capitalized terms used herein that are not otherwise defined have the meanings ascribed to them in the Stipulation and Agreement of Settlement (the “Stipulation”) dated August 20, 2026, available at www.strategicclaims.net/Marex/.

2. Use Part I of this form to identify each beneficial owner of the Marex Ordinary Shares that form the basis of this claim, as well as the record owner if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER(S) OR THE LEGAL REPRESENTATIVE OF SUCH OWNER(S).**

3. All joint owners must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of the persons they represent; their authority must accompany this claim, and their titles or capacities must be stated. The last four digits of the Social Security (or Taxpayer Identification) Number and the telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in its rejection.

III. IDENTIFICATION OF TRANSACTIONS

1. Use Part II of this form to supply all required details of your transactions in and holdings of Marex Ordinary Shares. If you need more space, attach separate sheets giving all of the required information in substantially the same form, and sign and print your name on each additional sheet.

2. Provide all of the requested information with respect to all of your holdings, purchases, acquisitions, and sales of Marex Ordinary Shares from the opening of trading on November 7, 2024 through and including the close of trading on October 31, 2025 (the last trading day on or before November 2, 2025), whether or not the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. The date of covering a “short sale” is deemed to be the date of purchase or acquisition of the shares, and the date of a “short sale” is deemed to be the date of sale of the shares.

4. Copies of broker confirmations, broker account statements, or other documentation of your transactions in Marex Ordinary Shares must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in its rejection. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS.**

5. **NOTICE REGARDING INSTITUTIONAL FILERS:** Representatives with authority to file on behalf of (a) accounts of multiple Settlement Class Members and/or (b) institutional accounts with large numbers of transactions (“Representative Filers”) must submit information regarding their transactions in an electronic spreadsheet format. If you are a Representative Filer, you must contact the Claims Administrator at efile@strategicclaims.net or visit the Settlement website at www.strategicclaims.net/Marex/ to obtain the required file layout. Claims which are not submitted in electronic spreadsheet format and in accordance with the Claims Administrator’s instructions may be subject to rejection. All Representative Filers **MUST** also submit a manually signed Claim Form, as well as proof of authority to file, along with the electronic spreadsheet format. No claims submitted in electronic spreadsheet format will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

6. **NOTICE REGARDING ONLINE FILING:** Claimants who are not Representative Filers may submit their claims online using the electronic version of the Claim Form hosted at www.strategicclaims.net/Marex/. If you are not acting as a Representative Filer, you do not need to contact the Claims Administrator prior to filing; you will receive an automated e-mail confirming receipt once your Claim Form has been submitted. If you are unsure if you should submit your claim as a Representative Filer, please contact the Claims Administrator at info@strategicclaims.net or (866) 274-4004. If you are not a Representative Filer, but your claim contains a large number of transactions, the Claims Administrator may request that you also submit an electronic spreadsheet showing your transactions to accompany your Claim Form.

PART I: CLAIMANT INFORMATION

Beneficial Owner's Name:		
Co-Beneficial Owner's Name (if any):		
Record Owner/Entity Name (if claimant is not an individual):		
Representative or Custodian Name (if different from Beneficial Owner(s)):		
Address 1 (street name and number):		
Address 2 (apartment, unit, or box number):		
City	State	Zip Code
Foreign Province:		Foreign Country:
Telephone Number(s):		
Email Address:		
Account Number (if filing for multiple accounts, file a separate Claim Form for each account):		
Last four digits of Social Security Number (for individuals)		Last four digits of Taxpayer Identification Number (for estates, trusts, corporations, etc.)
Claimant Account Type (check one): ☐ Individual (includes joint owners) ☐ Corporation ☐ IRA/401(k) ☐ Pension Plan ☐ Estate ☐ Trust ☐ Other: _____		

PART II: SCHEDULE OF TRANSACTIONS IN MAREX ORDINARY SHARES

1. BEGINNING HOLDINGS — State the total number of Marex Ordinary Shares held as of the close of trading on November 6, 2024. (Must be documented.) If none, write “zero” or “0”: _____

2. PURCHASES/ACQUISITIONS DURING THE SETTLEMENT CLASS PERIOD — Separately list each purchase/acquisition of Marex Ordinary Shares after the opening of trading on November 7, 2024 through and including the close of trading on August 5, 2025. (Must be documented.)

Date of Purchase/ Acquisition (Mo/Day/Yr)	Number of Shares Purchased/ Acquired	Purchase/ Acquisition Price Per Share	Total Purchase/ Acquisition Price (excluding taxes, commissions, fees)	Market of Purchase/ Acquisition⁵
/ /		\$	\$	
/ /		\$	\$	
/ /		\$	\$	
/ /		\$	\$	
/ /		\$	\$	

3. PURCHASES/ACQUISITIONS DURING THE 90-DAY LOOK-BACK PERIOD — State the total number of Marex Ordinary Shares purchased/acquired from August 6, 2025 through and including the close of trading on October 31, 2025 (the last trading day on or before November 2, 2025). (Must be documented.) If none, write “zero” or “0”: _____

4. SALES DURING THE SETTLEMENT CLASS PERIOD AND THE 90-DAY LOOK-BACK PERIOD — Separately list each sale/disposition of Marex Ordinary Shares after the opening of trading on November 7, 2024 through and including the close of trading on October 31, 2025 (the last trading day on or before November 2, 2025). (Must be documented.)

Date of Sale (Mo/Day/Yr)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, fees)
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$

5. ENDING HOLDINGS — State the total number of Marex Ordinary Shares held as of the close of trading on October 31, 2025 (the last trading day on or before November 2, 2025). (Must be documented.) If none, write “zero” or “0”: _____

⁵ In order to calculate your Claim Form in accordance with the Stipulation and the Plan of Allocation, please use this field to indicate the specific market or country in which the purchase/acquisition of Marex Ordinary Shares took place, whether on the Nasdaq Global Select Market or another location.

IV. SUBMISSION TO JURISDICTION OF THE COURT AND ACKNOWLEDGMENTS

By signing and submitting this Claim Form, the claimant(s), or the person(s) acting on behalf of the claimant(s), certify(ies) that: I (we) submit this Claim Form under the terms of the Plan of Allocation of the Net Settlement Fund described in the Notice, or any other plan of allocation approved by the Court. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of New York with respect to my (our) claim as a Settlement Class Member(s) and for purposes of enforcing the releases set forth herein and in the Stipulation. I (we) further acknowledge that I (we) will be bound by the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (we) agree to furnish additional information to the Claims Administrator to support this claim, including additional documentation of transactions in Marex Ordinary Shares, if required to do so. I (we) have not submitted any other claim covering the same transactions in Marex Ordinary Shares and know of no other person having done so on my (our) behalf.

V. RELEASES, WARRANTIES, AND CERTIFICATION

1. I (we) hereby warrant and represent that I am (we are) a Settlement Class Member(s) as defined in the Stipulation, that I am (we are) not excluded from the Settlement Class.

2. As a Settlement Class Member(s), I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever compromise, settle, release, resolve, relinquish, waive, and discharge with prejudice each and every Released Plaintiffs' Claim against all of the Defendants' Releasees (as those terms are defined in the Stipulation). This release shall be of no force or effect unless and until the Court approves the Settlement and the Effective Date occurs.

3. I (we) hereby warrant and represent that I (we) have not assigned or transferred, or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

4. I (we) have read and understand the contents of the Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation.

5. I (we) hereby warrant and represent that I (we) have included information about all of my (our) holdings, purchases, acquisitions, and sales of Marex Ordinary Shares during the relevant periods, to the extent requested.

6. I (we) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out this sentence.)

7. I (we) acknowledge that I (we) will be bound by and subject to the terms of any judgment that may be entered in the Action.

8. I (we) waive the right to trial by jury, to the extent it exists, and agree that, following completion of the review procedures set forth in the Stipulation, any determination made by the Court regarding the validity or amount of this claim shall be final and binding, subject to any rights that cannot lawfully be waived.

I (we) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on this Claim Form by the undersigned is true and correct.

Executed this ____ day of _____, 202_, in _____.

Signature of Claimant

Signature of Joint Claimant, if any

Print Name of Claimant

Print Name of Joint Claimant

Capacity of person signing (e.g., Beneficial
Owner, Executor, Administrator, Trustee)

REMINDER CHECKLIST

1. Please be sure to sign this Claim Form above. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Do not highlight the Claim Form or your supporting documentation.
3. Attach only copies of supporting documentation, as these documents will not be returned.
4. Keep a copy of your Claim Form for your records.
5. If you change your address, telephone number, or email address after submitting this Claim Form, please notify the Claims Administrator; otherwise, you may not receive further notices or payment.
6. If you desire confirmation that your Claim Form has been received, please send by Certified Mail, Return Receipt Requested.

THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.STRATEGICCLAIMS.NET/MAREX/ BY 11:59 PM EST ON JANUARY 11, 2027 OR MAILED NO LATER THAN JANUARY 11, 2027, ADDRESSED AS FOLLOWS:

Marex Securities Litigation
c/o Strategic Claims Services
P.O. Box 230
600 N. Jackson St., Ste. 205
Media, PA 19063
Fax: (610) 565-7985
info@strategicclaims.net
www.strategicclaims.net/Marex/

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

QUESTIONS? CALL (866) 274-4004 OR VISIT WWW.STRATEGICCLAIMS.NET/MAREX/.

Marex Securities Litigation
c/o Strategic Claims Services
600 N. Jackson St., Ste. 205
Media, PA 19063

IMPORTANT LEGAL NOTICE – PLEASE FORWARD